

# Company Forms

## Indicator Assessment Tool

### How oil, gas and mining companies support EITI implementation

**Purpose:** In accordance with the EITI Principles and Criteria, all companies operating in the relevant sectors in countries implementing EITI have to disclose material payments to the government in accordance with agreed reporting templates and to support EITI implementation. This includes: expressing public support for the initiative; taking part, or supporting, the multi-stakeholder process; disclosing agreed data, which is audited to international standards; and cooperating with the Validator where they have queries over company forms.

**Evidence:** This indicator does not require the Validator to provide an overall assessment. The Validator should provide a written assessment in the EITI Validation Report based on the self assessed Company Forms (below) which each company is required to complete. Where companies do not fill in forms, the Validator should note this in the final report. In addition, the Validator should include in the final report any relevant information on the company concerned that is already in the public domain. The company should be given the opportunity to check this information. As well as using the forms to summarise company performance in the EITI Report, the forms should be publicly available and a table collating company responses should be included in the EITI Report.

The Validator should contact all the companies required to fill in forms at the start of the validation, inform them of the requirement to complete the form and request that the forms be returned to the Validator. In addition, the Validator should ask companies to comment on lessons learnt and best practice. Companies will have two ways of providing such comments:

- Companies can use the space provided on the self assessment forms, or
- Companies can provide verbal evidence to the Validator where issues the company wishes to note are of a sensitive nature. The Validator will summarise anonymised lessons and experiences in the Validation Report.

The self assessment form should be filled in, in the first instance by the company, as follows:

**Country level:**

- Each oil, gas or mining company active in the country being validated should complete a country-level Company Form as a self-assessment and should submit it to the Validator.
- The national Validator will collate responses and may contact companies if they have additional questions or require further supporting information. Companies should respond positively to such requests.
- Companies should make forms for each country of operation publicly available on their external websites

**International level:**

- Companies that have made international commitments to support EITI should fill in an international-level self assessment form.
- The international Company Form should be completed by each company and this should be **sent to the EITI Secretariat** who will put it on the EITI website.
- Companies should also provide clear endorsement of EITI on their website.

## EITI Company Validation Form

Country-level		
<b>COMPANY:</b> Teck	<b>COUNTRY:</b> Peru	
Mark indicators below with a <b>√</b>	Yes	No
1. Has the company made public statements in support of the EITI process in this country?	<b>√</b>	
2. Has the company committed to support and cooperate with implementation of the Country EITI Work Plan (as agreed by the multi-stakeholder group), including abiding by government EITI related directives (e.g. laws and MoUs) and, where appropriate, meeting with stakeholders?	<b>√</b>	
3. Have all material payments been disclosed to the organization contracted to reconcile figures and produce the EITI report as per agreed EITI Reporting Templates and pursuant to agreed timelines?	n/a	
4. Was the data that was submitted to the organisation contracted to reconcile figures and produce the EITI report taken from accounts independently audited to international standards?	n/a	
5. Has the company responded to queries from the organization contracted to reconcile figures and produce the EITI report to assist in reconciliation of country payments with government receipts in accordance with EITI Reporting Templates?	n/a	
	Yes	No
<b>Overall Assessment (of above)</b>		
Narrative opinions.		
If any indicators above are marked 'no', please provide an explanation.		
Any other comments.		

<b>International-level</b>		
<b>COMPANY: Teck</b>		
Mark indicators below with a ✓	<b>Yes</b>	<b>No</b>
1. Has the company published a clear public statement endorsing the EITI Principles and Criteria, and ensured this is accessible on its external website?	<b>✓</b>	
2. If applicable (i.e. for operations in EITI implementing countries that have completed at least one validation), has the company provided links on its external website to completed Company Forms?	<b>n/a</b>	
3. Has the company assigned strategic responsibility for EITI to a member of its senior management and appointed a lead contact person responsible for communication of the company's EITI policy, action in support of EITI, and responding to queries from EITI stakeholders?	<b>✓</b>	
4. If the International EITI Conference has taken place in the validation period, did a member of senior management attend or send a statement of support?	<b>n/a</b>	
5. Where a company has a global sustainability report or corporate responsibility report, has the company included a summary of its contribution to EITI in this and on its external website?	<b>✓</b>	
	<b>Yes</b>	<b>No</b>
<b>Overall Assessment (of above)</b>		
Narrative opinions.	The only implementing country where we have operations is Peru for our Antamina mine. We disclose all payments and are working with the EITI representatives in Peru.	
If any indicators above are marked 'no', please provide an explanation.		
Any other comments.	n/a = not applicable	

