

### THREE YEARS MWEITI WORK PLAN 2015 TO 2017

KEY

completed

Ongoing

Pending

#### Strategic Goal: National sustainable development through revenue transparency

#### Monitoring and Evaluation:

1) Monitoring Update every 4-8 weeks -> responsible MSG member: Focus, Chairperson, Natural Resources Justice Network (NRJN)

2) Implementation progress to be discussed at each MSG meeting with National Secretariat

3) Responsible entities will conduct sub-work plans and report back to the Main MWEITI Work Plan, such as for first EITI report, pilot projects or communications etc.

Objectives	EITI requirement addressed	Activities	Expected Output	Expected Outcome	Responsible Entity	Timeline	Costs in USD			Challenges and Obstacles	Possible Source of Funding
							2015/2016	2016/2017	2017/2018		
1) EITI-compliant status achieved.	requirement 1.1	Government issues an unequivocal statement, State of the Nation Address, H.E. Professor Arthur Peter Mutharika opening the 45th Session of Parliament on 17th June 2014, <a href="http://www.parliament.gov.mw">http://www.parliament.gov.mw</a>	1.1 Government resolution	Demonstrated commitment of state authorities to MWEITI implementation	Presidency, Minister of Finance	17 June 2014	in-kind			Difficult to quantify	GoM
	requirement 1.2	Appointment of Senior Individual and this decision is publicly communicated (i.e. through press release)	1.2 Senior Individual appointed and known to the public	To champion MWEITI and to provide leadership	Minister responsible for finance	18 July 2015	\$ 3 500,00				GIZ
	requirement 1.3	Government commits to work with civil society and private sector and establishes a multi-stakeholder group (MSG) and MSG agrees on ToR	1.3 MSG established	National pressure group for greater transparency and accountability functioning	Minister responsible for finance	25 June 2015	in-kind (\$5,000)			Representatives from Government and CSO may not be capacitated enough to fulfil their designated role.	MoF
	requirement 1.4	MSG produces a current, fully costed work plan	1.4 Work plan approved	Ensure that MWEITI is an inclusive, collaborative and effective process	National Secretariat, MSG	25 June 2015	in-kind (\$10,000)				MSG members
	all requirements	Training workshop on the EITI Standard, drafting of the work plan	1.5 Workshop conducted and draft work plan developed	MSG members are aware of their rights and obligations according to the EITI Standard and identify next steps	National Secretariat, GIZ	31 March 2015	\$ 7 500,00			Lack of awareness of EITI beyond core group of previous EITI task force	GIZ
	requirements 1.1 - 1.4	Candidature application endorsed by MSG and submitted to EITI Board	1.6 Candidature status achieved	Stakeholders enabled to strengthen transparency and accountability in the extractives sector of Malawi within the EITI framework	Minister of Finance, MSG	30 June 2015	in-kind (\$15,000)				MoF, MSG-members
	all requirements	Official launch of MWEITI implementation	1.7 EITI launched	Renew commitment of government, companies and CSO to EITI implementation	National Secretariat	30 September 2015	\$ 30 000,00			Lack of awareness of EITI beyond core group of previous EITI task force	
	all requirements	Interim National Secretariat operative as a staff unit at MoF	1.8 Formation of interim EITI Secretariat based in the MoF	EITI Champion and MSG are supported	National Secretariat	18 March 2015	in-kind (\$15,000)	in-kind (\$20,000)	in-kind (\$25,000)	Government may lack the resources to fully implement EITI without support	MoF, GIZ (resident advisor in MoF)
	all requirements	National Secretariat (see additional budget) set up	1.9 Formation of EITI Secretariat based in the MoF	EITI Champion and MSG are supported	National Secretariat	30 November 2015	\$ 313 850,00	\$ 296 920,00	\$ 268 867,40	Open one account for the Secretariat from different donors, ensuring that resources are earmarked to support EITI for three years. Ensuring smooth cashflow for the project.	
	all requirements	ToR for fully-fledged National Secretariat drafted for endorsement by MSG	1.10 MSG approves ToR for National Secretariat	National Secretariat's role, rights and obligations are clear and transparent	CSO CFJ, CSO Focus, Company Paladin, GIZ expert at MoF	25 June 2015	in-kind (\$5,000)				MSG-members, GIZ
	all requirements	Study tour of MSG members for peer learning conducted to compliant country Zambia.	1.11 MSG work will be improved	Awareness and support of MWEITI stakeholders increased	National Secretariat, MSG	30 June 2015	\$ 22 000,00			Lack of awareness of EITI beyond core group of previous EITI task force	GIZ
	all requirements	Coordination and pledging round with international partners, including World Bank, AfDB, EU, BMZ/GIZ, DFID, Royal Norwegian Embassy, private foundations.	1.12 Donor support earmarked and committed	Effective international cooperation	National Secretariat	30 July 2015	in-kind (\$5,000)				MoF

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	requirements 3 - 5	Legal and practical obstacles to implementation: research and identify any legal, regulatory or other practical obstacles that may hinder or prevent the implementation of the EITI process and reporting.	1.13 Legal and practical obstacles study conducted	Provide direction to the MSG on necessary steps to take to address legal and practical obstacles	National Secretariat	30 September 2015	\$ 20 000,00			Legislative and legal barriers – The EITI process may be hampered by laws or contractual agreements between the government and private companies	
		Policy and legislation for EITI: scoping research, consultations and drafting of policy and legislation.	1.14 Policy and legislation produced	Provide legislative framework for implementation of the EITI	National Secretariat, MSG, Ministry of Justice	30 September 2017	\$ 40 000,00	\$ 40 000,00			
		Scope of EITI implementation: research and identify which natural resources, revenue/payment streams, companies and state authorities should be included in the EITI reports.	1.15 Scope of EITI implementation defined	Provide direction to the MSG on the scope for the EITI implementation and reporting	National Secretariat	31 October 2015	\$ 60 000,00				GIZ
	requirement 5.2	Preparatory Reporting Template drafted for Independent Administrator (Reconciler)	1.16 Preparatory Reporting Template produced	Readiness to prepare 1st MWEITI report	National Secretariat, MSG	01 November 2015	in-kind (\$1,000)				MoF
	requirement 5.2	TOR for Independent Administrator (Reconciler) approved by MSG, including decision of materiality threshold.	1.17 ToR for Independent Administrator (Reconciler) developed	Ensured that reconciliation is carried out thoroughly and Independent Administrator understand role	National Secretariat, RBM, NAO	01 November 2015 and subsequent years	\$ 2 000,00				
	requirement 5.2	Independent Administrator (Reconciler) is contracted. Procurement started in a timely manner (6-9 months, MoF).	1.18 Independent Administrator contracted	Confidence with stakeholders on the integrity of EITI is increased	MoF, National Secretariat	31 January 2016 and subsequent years	\$ 60 000,00		\$ 70 000,00		
	requirement 3.1; requirement 3.2.; requirement 3.3; requirement 3.4; requirement 3.5;	MSG committee on contextual information makes sure that resource persons from relevant government agencies provide information.	1.19 Contextual information collected	Maximise synergies between stakeholders	National Secretariat, MSG	01 February 2016	\$ 5 000,00	\$ 10 000,00	\$ 10 000,00	Information is currently not consolidated and is published through a variety of means and agencies	
	requirement 5.2	Independent Administrator (Reconciler) to collect information from government and companies for reconciliation, and drafting of report.	1.20 Report produced	Independent third party reconciliation will add weight to the tax payment figures put into the public domain	National Secretariat	30 September 2016					
	requirements 5.3 and 6	MSG endorses first EITI report	1.21 First EITI report endorsed	Public debate on Malawi extractive industries is better informed	MSG	31 December 2016 (td)	\$ 2 500,00				
		MSG publishes the first EITI report	1.22 First EITI report published	Public debate on Malawi extractive industries is better informed	MSG	31 December 2016 (td)		\$ 20 000,00			
	requirements 5.3 and 6	MSG endorses second EITI report	1.23 Second EITI report endorsed	Public debate on Malawi extractive industries is better informed	MSG	31 December 2017 (td)		\$ 2 500,00			
		MSG publishes second EITI report	1.24 Second EITI report published	Public debate on Malawi extractive industries is better informed	MSG	31 December 2017 (td)			\$ 20 000,00		
	requirement 7.1	Identify, investigate and address the cause of discrepancies in reconciliation and consider recommendations for improvement from the Independent Administrator.	1.23 Findings consolidated and submitted to government	Governance of the extractives sector in Malawi is improved	MSG	30 November 2016 (td) and subsequent years		\$ 5 000,00			
	requirements 1.3 and 7.2	Research and present information on the recent activities	1.24 Annual Activity Report 2015/2016	Confidence with stakeholders on the results of EITI is increased	National Secretariat	30 June 2016		\$ 5 000,00			
	requirements 1.3 and 7.2	Research and present information on the recent activities	1.25 Annual Activity Report 2016/2017	Confidence with stakeholders on the results of EITI is increased	National Secretariat	30 June 2017			\$ 5 000,00		

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	requirement 1.6 b) and The Validation Guide	Undergo independent validation for EITI compliance	1.26 Assessment of EITI implementation in MW	MW reaches compliant status	National Secretariat	15 December 2017				EITI International Secretariat is responsible for covering costs and arrangements for the independent validation	EITI International Secretariat.
2) The MSG forms and strengthens partnerships between government/administration, companies and public for attracting quality investments.	all requirements	Inform policy and legal reforms in accordance with Africa Mining Vision (AMV), e.g. Mines and Minerals Act, Taxation Act	2.1 Harmonised policy and legal framework for investors	Ease of doing business is improved for higher quality investments	MoF, National Secretariat	15 December 2017				Policy coherence both within Malawi and SADC/AU	
	all requirements	Checklist for licence and contract awarding government agencies concerning the due diligence of investors	2.2 Due diligence checklist developed	Resilient legal and contractual frameworks for mutual benefit in place	National Secretariat	30 November 2016		\$ 2 000,00	\$ 2 000,00		
	requirement 3.11	The beneficial owners of corporate entities that bid for, operate, or invest in extractive assets will be made publicly available on the government website/website of MWEITI in accordance with Requirement 3.11	2.3 Beneficial ownership information published	The public and government's understanding of and trust in MW investors are increased	National Secretariat	30 September 2016	\$ 5 000,00	\$ 30 000,00	\$ 7 500,00		
	requirement 3.12	Contract and licences are publicly disclosed on the government website/website of MWEITI in accordance with Requirement 3.12	2.4 Contracts and licences are disclosed online	Improve mutual trust and good governance in Malawi's extractive industries	National Secretariat	30 September 2016		\$ 5 000,00		1 Development Agreement (DA) has been awarded by Government, which has subsequently been disclosed. Thus there is currently actual contract transparency in the sector.	
	all requirements	MSG visit to mine sites to strengthen partnerships and technical knowledge and competence of members.	2.5 Visit to mines in Malawi conducted	Improve mutual trust and good governance in Malawi's extractive industries	National Secretariat, MSG	30 September 2016		\$ 15 000,00			
	all requirements	Participation in EITI Global Conference (Lima, Peru) (participation of at least 6 people from Secretariat and MSG)	2.6 Participation in EITI Global Conference	The MSG stakeholders and the constituencies they represent share knowledge and present Malawi's achievements, building MSG trust and public's understanding of MWEITI	MSG	28 February 2016		\$ 60 000,00			
3) Increased revenue base and socio-economic impacts from extractive industries.	requirement 7	One report is submitted to the MSG on fiscal regime and socio-economic impacts with gender disaggregated data (sector-wide situation and risk analysis) along the decision/value chain in the extractives sector.	3.1 Decision/value chain in the extractives sector in terms of revenue management and benefits for the people is assessed.	Provides direction to the MSG in what positive and negative results MWEITI should focus on.	MoF, National Secretariat	31 March 2016		\$ 15 000,00			
	requirements 1.3 and 7	Conduct community meetings to discuss revenue and non-revenue issues affecting communities in mining areas. Assign EITI focal points in mining areas, e.g. members of district assemblies or local administrations.	3.2 Institutionalised dialogue of national government, local governments, companies and local communities is assessed and improved	Participatory multi-stakeholder process strengthened.	ActionAid Malawi, National Secretariat	31 May 2016		\$ 20 000,00			
4) Strengthened trust among stakeholders of natural resource management.	requirements 1.3 and 7	Measure the effect of MWEITI on the level of trust in the extractives sector, representative, quantitative and scientifically robust survey sets baseline before starting with MWEITI - same survey conducted after 1st EITI report; e.g. relations state authorities / citizen, state authorities / chiefs, state authorities / companies, companies / chiefs, companies / citizens, chiefs / citizens, CSOs / citizens; parliamentarians, media, gender-disaggregated.	4.1 Baseline and mid-term assessment of stakeholder trust conducted	Impact of EITI on trust of stakeholders in the extractive industries is fully understood	National Secretariat	01 September 2015	\$ 20 000,00		\$ 20 000,00		

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	requirement 1.3 g)	Form a Committee on Communication & Engagement	4.2 Committee formed	Find and maximise audience of MWEITI report	National Secretariat, Ministry Natural Resources, Energy and Mining, CFJ, ActionAid Malawi, Paladin Africa Ltd., Bwanje Cement Company Limited	31 October 2015	\$ 2 500,00			Some lack of cooperation / mistrust among stakeholders - Different stakeholder groups have different visions or reasons for pursuing EITI membership which, if not managed well, can lead to low levels of cooperation	
	requirement 1.3 g)	Fully costed Communication and Engagement Strategy and Workplan is drafted for endorsement by MSG	4.3 Communication and Engagement Strategy and Workplan drafted and endorsed by MSG	Find and maximise audience of MWEITI report	MSG	31 January 2016	\$ 20 000,00			Lack of awareness of EITI beyond core group of previous EITI task force	
	requirement 1.3 g)	Ensure that Communication and Engagement Strategy and Workplan is implemented and e.g. MWEITI website is developed and updated in a timely manner to provide relevant information, messages from EITI generated data are customised (content, format, channel) for target groups; conduct outreach and capacity building activities with targeted stakeholders; involvement and mobilisation capacities of stakeholders beyond the MSG members.	4.4 Communication and Engagement Strategy and Workplan implemented	Factual and valuable information provided to stakeholders leading to increased understanding and awareness of MWEITI generated data	National Secretariat, Media House and Committee on Communication and Engagement	31 December 2017	\$ 100 000,00	\$ 150 000,00	\$ 150 000,00	Scepticism toward EITI - Some local stakeholders raise questions about the wisdom or necessity of joining EITI	
	requirements 1.3 and 7	One pilot measure is identified, where a District Development Plan is informed by the MWEITI report - in terms of priorities, revenue management and revenue sharing.	4.5 MSG endorses one pilot measure	Participative multi-stakeholder process strengthened	National Secretariat, ActionAid Malawi, Paladin Africa Ltd.	30 June 2017			\$ 20 000,00		
Overall costs							\$ 710 350,00	\$ 676 420,00	\$ 573 367,40	<b>\$ 1 960 137,40</b>	







