

## Anti-corruption tools and guidance for EITI stakeholders

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Not only is there an urgent need to address corruption risks in the extractives sector, there is also substantial demand. Developing the right tools to mitigate extractive sector corruption risks and take action on corruption cases is a national policy priority for resource-rich countries globally.

Countries implementing the EITI can draw on detailed reporting across the extractive value chain which offers the means to analyse payments, revenue flows, contractual terms and company ownership – essential information for those involved in investigating corruption cases and highlighting them in the public domain. In addition, EITI multi-stakeholder groups bring together government, company and civil society representatives in a forum where policy reforms and data disclosure initiatives can be discussed and taken forward.

Recognising the potential for using these mechanisms, the EITI is seeking to understand how it can offer better targeted support to countries in their anti-corruption efforts. As part of this effort, the EITI is working with the Natural Resource Governance Institute (NRGI), the Transparency International's Accountable Mining Programme and others to develop and share guidance and tools with multi-stakeholder groups in countries implementing the EITI.

### **General corruption risk assessments**

- **Guidance for MSGs on using the EITI to address corruption.** The Secretariat will be developing guidance to MSGs on how to use the EITI process to engage in anti-corruption reforms. Based on national priorities and work plan objectives in countries, a series of workshops will be organised, aimed at strengthening multi-stakeholder governance to fight corruption.
- **Using EITI reporting to help understand and address corruption risks.** NRGI will soon publish a report assessing EITI reporting from a wide range of countries. The report will identify the types of information that are relevant to understanding and addressing corruption risks and outline how this information has been used so far. It will provide recommendations about how future reporting could address corruption more effectively and how to use EITI reporting to better understand and respond to corruption risks.
- **Corruption Risk Diagnostic Tool for EITI multi-stakeholder groups.** NRGI is finalising a diagnostic tool, based around a structured questionnaire, that will help EITI countries identify and address corruption risks across the sector – from transactional risks such as bribery, to more systemic concerns. It will allow users to tackle known areas of corruption risks, such as licensing, subcontracting and spending by state-owned enterprises. The tool will be adaptable to suit different country contexts and prioritise actions to ensure that findings translate into real-world change. The objective is to prevent future corruption, not to uncover past cases.

### ***Addressing risks in licensing***

- **Transparency International's Mining Awards Corruption Risk Assessment (MACRA) Tool.**<sup>1</sup> The MACRA Tool helps users identify and assess the underlying causes of corruption in mining sector awards – the risks that create opportunities for corruption, and undermine the lawful, compliant and ethical awarding of mining sector licences, permits and contracts. MACRA has been used across more than 20 jurisdictions and identifies more than 80 common corruption risks.
- **Using the EITI Standard to combat corruption: Lessons from Transparency International's mining research in five countries.** This paper draws on Transparency International's assessment of corruption risks in the mining licensing process of five EITI member countries. The paper draws key lessons from these country case studies and presents actionable recommendations for EITI Multi-Stakeholder Groups on how to combat corruption through effective implementation of the licence allocation disclosure requirements in the EITI Standard.

### ***Identifying conflicts of interest***

- **The 'Red flags' cross-checking tool.** As part of its participation in the IMF's anti-corruption challenge, the EITI Secretariat and Directorio Legislativo developed a tool to help cross-check beneficial ownership data with asset declarations by public officials, to help identify potential conflicts of interest. The tool is available to be tested in interested countries. It requires that some beneficial ownership data and asset declarations by public officials are publicly accessible.<sup>2</sup>

### ***Assessing EITI impact on addressing corruption***

- **Assessment framework to measure the impact of EITI in addressing corruption risks.** As part of the EITI's broader work on impact evaluation, the EITI will help selected countries develop country-specific impact evaluation frameworks and indicators to determine the extent to which the EITI plays a role in mitigating corruption risks in the extractive sector.

*The EITI International Secretariat welcomes feedback from implementing country representatives and multi-stakeholder group members on these tools and further suggestions for how to support EITI countries in addressing corruption risks.*

*For more information about the guidance, tools and support available, please contact Gay Ordenes at the EITI International Secretariat ([GOrdenes@eiti.org](mailto:GOrdenes@eiti.org)).*

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<sup>1</sup> <https://transparency.org.au/publications/macra-tool/>

<sup>2</sup> A video on the tool is available here: <https://www.youtube.com/watch?v=a-kPn7U0VBs>