

Germany

Stakeholder engagement – template for EITI data collection

Contents

Introduction.....	2
Part I: MSG oversight.....	3
MSG members and attendance	3
MSG Terms of Reference and practices	17
MSG meetings and minutes	23
MSG approval.....	24
Part II: Government engagement	25
Liaison with the broader constituency.....	29
Use of data	30
Sign-off	31
Part III: Industry engagement	33
MSG nominations.....	33
Liaison with the broader constituency.....	35
Use of data	36
Obstacles to participation	37
Sign-off	37
Part IV: Civil society engagement	39
MSG nominations.....	39
Liaison with the broader constituency.....	41
Use of data	43
Obstacles to participation	45
Sign-off	46
For Validation team’s use: Guiding questions for consultations on stakeholder engagement.....	48
For Validation team’s use: Template for “Call for views on stakeholder engagement”	51

Period under review: 1st of November 2018– 30th September 2023

Validation team: [Names and emails]

Deadline for submission: 30th September 2023

Introduction

The EITI requires effective multi-stakeholder oversight, including a functioning multi-stakeholder group that involves the government, companies, and the full, independent, active and effective participation of civil society.

The key requirements related to multi-stakeholder oversight include: (1.1) government engagement; (1.2) industry engagement; (1.3) civil society engagement, including [EITI Protocol: Participation of civil society](#), and (1.4) the establishment and functioning of a multi-stakeholder group.

The purpose of this template is to collect information from MSG members about the implementation of these provisions. Parts I to IV of this template should be completed and submitted to the International Secretariat by the commencement of Validation.

Part I: MSG oversight addresses Requirement 1.4.b and should be approved by the MSG before submission to the International Secretariat.

Parts II to IV should be completed by each constituency and submitted to the International Secretariat. These should be shared with the MSG for information.

The Validation team will undertake virtual or in-person consultations to gather additional information. Ahead of the Validation, a public call for stakeholder views will be launched by the International Secretariat.

Part I: MSG oversight

<p>The objective of this requirement is to ensure that there is an independent MSG that can exercise active and meaningful oversight of all aspects of EITI implementation that balances the three main constituencies' (government, industry and civil society) interests in a consensual manner. As a precondition for achieving this objective, the MSG must include adequate representation of key stakeholders appointed on the basis of open, fair and transparent constituency procedures, make decisions in an inclusive manner and report to wider constituencies.</p>	<p>MSG's self-assessment:</p> <p>Not applicable /Not met / Partly met / Mostly met / Fully met or Exceeded</p> <p>Justification: Since the foundation of the D-EITI MSG and in the current validation period the three constituencies were fully committed to the D-EITI process and operated independently. All voting seats of the MSG have been consistently occupied and were supported by alternates. The constituency procedures established were followed without exception and the wider constituencies were involved systematically. All MSG decisions were taken by consensus and thus go beyond the agreed decision-making rules of procedure of the MSG.</p>
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This section is to be filled out by the national secretariat or an MSG working group and should be approved by the MSG before submission to the International Secretariat.

MSG members and attendance

1. Current MSG members. Please fill out the table below. Add rows when necessary.

Constituency	Full/ alternate member	Member since (MM/YY)	Name	Position	Organisation	Gender	Meetings attended in period under review (dates)
Government/Chair	Full member	03/22	Bernhard Kluttig	Head of Department (Abteilungsleiter)	Federal Ministry for Economic Affairs and Climate Action	m	05.04.2022 26.05.2023
Government/ Deputy Chair	Alternate member	06/22	Dr. Peer Hoth	Head of Division (Referatsleiter)	Federal Ministry for Economic Affairs and Climate Action	m	16.09.2022 30.11.2022 19.04.2023 26.05.2023

Government	Full member	04/22	Dr. Robert Plachta	Head of Division (Referatsleiter)	Federal Ministry of Finance	m	
Government	Alternate member	04/22	Bodo Bajorat	Clerk (Sachbearbeiter)	Federal Ministry of Finance	m	19.05.2022 16.09.2022 30.11.2022 26.05.2023
Government	Full member	04/15	Friedrich Wilhelm Wagner	Chief Inspector of Mines (Assessor des Bergfachs) (retd.)	Bund-Länder-Ausschuss Bergbau (LAB, Federal and State Committee for Mining)	m	19.11.2018 10.04.2019 03.06.2019 30.10.2019 19.02.2020 09.07.2020 26.11.2020 12.02.2021 15.04.2021 26.05.2021 03.12.2021 16.02.2022 05.04.2022 19.05.2022 16.09.2022 30.11.2022 19.04.2023 26.05.2023
Government	Alternate member	04/15	Helmuth von Nicolai	Head of Unit (Referatsleiter)	Ministry of Energy, Infrastructure and Digitalization, Mecklenburg Western Pomerania	m	19.02.2020 09.07.2020 15.04.2021
Government	Full member	04/20	Norbert Conrad	Head of Unit (Referatsleiter)	Ministry for Economic Affairs, Transport, Housing and Digitalisation, Lower Saxony	m	19.11.2018 10.04.2019 30.10.2019 09.07.2020 26.11.2020 12.02.2021 15.04.2021 26.05.2021 03.12.2021 16.02.2022 05.04.2022 19.05.2022 16.09.2022

							30.11.2022 19.04.2023 26.05.2023
Government	Alternate member	07/21	Jörg Bodenstern	Deputy Head of Unit (Stellvertretender Referatsleiter)	Ministry for Environment, Energy and Nature Conservation, Thuringia	m	05.04.2022 16.09.2022 30.11.2022 26.05.2023
Government	Full member	04/15	Torsten Falk	Senior Policy Advisor (Referent)	Ministry of Finance, Hesse	m	10.04.2019 03.06.2019 19.02.2020 12.02.2021 26.05.2021 16.02.2022 05.04.2022 16.09.2022 30.11.2022
Government	Alternate member	11/22	Alexander Mayer	Head of Unit (Referatsleiter)	Ministry of Finance, Hamburg	m	19.04.2023
Companies	Full member	04/15	Matthias Wachter	Head of Department (Abteilungsleiter)	Federation of German Industries	m	19.11.2018 10.04.2019 03.06.2019 30.10.2019 09.07.2020 26.11.2020 12.02.2021 15.04.2021 26.05.2021 03.12.2021 05.04.2022 19.05.2022 16.09.2022 30.11.2022 26.05.2023
Companies	Alternate member	07/16	Hauke Dierks	Head of Unit (Referatsleiter)	German Chambers of Industry and Commerce	m	03.06.2019 03.12.2021 16.02.2022 19.05.2022
Companies	Full member	04/15	Dr. Martin Wedig	Managing Director (Geschäftsführer)	German Commodities	m	19.11.2018 03.06.2019 19.02.2020 09.07.2020 12.02.2021

					and Mining Federation		15.04.2021 26.05.2021 03.12.2021 16.02.2022 05.04.2022 16.09.2022 30.11.2022 19.04.2023 26.05.2023
Companies	Alternate member	06/20	Birgit Schroeckh	Senior Policy Advisor (Referentin)	Federal German Association of Lignite Producing Companies	f	09.07.2020 26.11.2020 12.02.2021 15.04.2021 26.05.2021 03.12.2021 16.02.2022 30.11.2022 19.04.2023 26.05.2023
Companies	Full member	11/22	Dr. Matthias Frederichs	Director General (Hauptgeschäftsführer)	German Building Materials Association	m	26.05.2023
Companies	Alternate member	02/20	Tanja Lenz	Head of Reporting and Statistics (Leiterin Reporting und Statistik)	German Building Materials Association	f	10.04.2019 03.06.2019 30.10.2019 19.02.2020 26.11.2020 12.02.2021 15.04.2021 26.05.2021 03.12.2021 16.02.2022 05.04.2022 19.05.2022 30.11.2022 19.04.2023 26.05.2023
Companies	Full member	06/22	Markus Zeise	Sustainability Specialist	Wintershall Dea AG	m	30.11.2022 19.04.2023 26.05.2023
Companies	Alternate member	06/17	Ludger Radermacher	Deputy Head of the Representation Office Berlin (Stellvertretender Leiter)	Wintershall Dea AG	m	19.11.2018 10.04.2019 03.06.2019 30.10.2019 09.07.2020 26.11.2020 12.02.2021

				der Berliner Repräsentanz)			15.04.2021 26.05.2021 16.02.2022 05.04.2022 19.05.2022
Companies	Full member	03/23	Lena Kristin Michel	Manager TQM /Sustainability	K+S Minerals and Agriculture GmbH	f	
Companies	Alternate member	09/21	Sven Siems	Manager Accounting	K+S Aktiengesellschaft	m	03.12.2021 05.04.2022 19.05.2022 16.09.2022 30.11.2022 19.04.2023
Civil Society	Full member	04/15	Prof. Dr. Edda Müller	Minister (ret.)	Transparency International Deutschland e.V.	f	19.11.2018 10.04.2019 03.06.2019 30.10.2019 19.02.2020 09.07.2020 26.11.2020 12.02.2021 15.04.2021 26.05.2021 03.12.2021 16.02.2022 05.04.2022 19.05.2022 30.11.2022 19.04.2023
Civil Society	Alternate member	02/22	Mickaël Roume-goux Rou-velle	Project Manager	Transparency International Deutschland e.V.	m	16.02.2022 05.04.2022 19.05.2022 16.09.2022 19.04.2023 26.05.2023
Civil Society	Full member	05/19	Carola Dittmann	Head of CSR and Co-determination (Bereichsleiterin CSR und Mitbestimmung)	Stiftung Arbeit und Umwelt der Industrie- gewerkschaft Bergbau, Chemie, Energie	f	03.06.2019 19.02.2020 09.07.2020 26.11.2020 12.02.2021 15.04.2021 26.05.2021 03.12.2021 16.02.2022 05.04.2022 30.11.2022 19.04.2023

Civil Society	Alternate member	03/18	Malte Lückert	Head of Department (Abteilungsleiter)	Industriegewerkschaft Bergbau, Chemie, Energie	m	10.04.2019 03.06.2019
Civil Society	Full member	04/15	Jürgen Maier	Managing Director (Geschäftsführer)	Forum on Environment & Development	m	10.04.2019 30.10.2019 19.02.2020 09.07.2020 26.11.2020 12.02.2021 15.04.2021 26.05.2021 03.12.2021 16.02.2022 05.04.2022 16.09.2022 30.11.2022 19.04.2023 26.05.2023
Civil Society	Alternate member	05/23	Anika Bender	Scientific Adviser (wissenschaftliche Referentin)	Forum on Environment & Development	f	26.05.2023
Civil Society	Full member	04/15	Daniel Dietrich	Board Member (Vorstandsmitglied)	Open Knowledge Foundation Deutschland e.V.	m	
Civil Society	Alternate member	09/15	Walter Palmethofer	Project Lead	Open Knowledge Foundation Deutschland e.V.	m	10.04.2019 03.06.2019 30.10.2019 19.02.2020 09.07.2020 26.11.2020 12.02.2021 15.04.2021 26.05.2021 03.12.2021 16.02.2022 05.04.2022 19.05.2022 16.09.2022 30.11.2022 19.04.2023 26.05.2023

Civil Society	Full member (full member since 06/22, before that alternate member)	04/17	Florian Zerzawy	Head of Energy and Agricultural Policy (Leiter Energie - und Agrarpolitik)	Green Budget Germany	m	10.04.2019 03.06.2019 30.10.2019 19.02.2020 26.11.2020 15.04.2021 03.12.2021 05.04.2022 19.05.2022 16.09.2022 26.05.2023
Civil Society	Alternate member	06/22	Marie Wettingfeld	Scientific Adviser (wissenschaftliche Referentin)	Green Budget Germany	f	16.09.2022 30.11.2022 19.04.2023 26.05.2023

2. Changes in membership in the period under review and the reason behind each change. (I.e. if there are people who have been members in the period under review but no longer are.) Please fill out the table below. Add rows when necessary.

Constituency	Name of former member	End of MSG membership (MM/YY)	Reason for membership ending	Replaced by
Civil Society	Josephine Koch	05/23	Parental leave	Anika Bender
Companies	Matthias Pfaff	03/23	Change of position	Lena Kristin Michel
Government	Dr. Nils Plenge	06/22	Change of position	Dr. Peer Hoth
Civil Society	Swantje Fiedler	06/22	Regular nomination; renaming	Florian Zerzawy
Civil Society	Florian Zerzawy	06/22	Regular nomination, renaming	Marie Wettingfeld

Government	Bettina Kläsener	11/22	Change of position	Alexander Mayer
Companies	Michael Basten	09/22	Retirement	Dr. Matthias Frederichs
Companies	Britta Sadoun	06/22	Change of position	Markus Zeise
Government	Ludger Wocken	04/22	Change of position	Robert Plachta
Government	Daniel Fehling	04/22	Change of position	Bodo Bajorat
Government	Dr. Winfried Horstmann	03/22	Change of position	Bernhard Kluttig
Government	Andrea Jünemann	03/22	Change of position	Dr. Nils Plenge
Civil Society	Karsten Kläge	02/22	Change of position	Mickaël Roumegoux Rouvelle
Government	Thomas Bode	07/21	Change of position	Jörg Bodenstein
Companies	Dr. Peter Westhof	04/21	Change of position and renaming	Ludger Radermacher
Companies	Ludger Radermacher	04/21	Change of position and renaming	Britta Sadoun
Companies	Britta Sadoun	04/21	Change of Company (K+S to Wintershall Dea GmbH)	Sven Siems
Companies	Hans-Jürgen Müller	05/21	Because of retirement the membership has not been renewed	Matthias Pfaff
Government	Torsten Arnswald	10/20	Change of position	Ludgar Wocken

Government	Petra Jost	09/20	Change of position	Bettina Kläsener
Companies	Kay Stelter	06/20	Change of position	Birgit Schroeckh
Companies	Christian Haeser	02/20	Change of position	Tanja Lenz
Government	Dr. Klaus Freytag	01/20	Change of position	Helmuth von Nicolai
Government	Dr. Christian Schleithoff	05/19	Change of position	Dr. Daniel Fehling
Civil Society	Thomas Kastning	05/19	Change of position	Karsten Kläge
Civil Society	Dr. Ralf Bartels	05/19	Change of position	Carola Dittmann

3. MSG working groups and technical committees. If the MSG has established working groups or committees, please describe briefly their mandate and membership.

The D-EITI MSG has established the following formats and subgroups to take forward the work of the MSG:

Regular meetings of the D-EITI MSG stakeholder group coordinators

The D-EITI MSG has introduced the level of coordinators, meaning that each stakeholder group appoints a coordinator. The coordinators are not necessarily members of the D-EITI MSG. The current coordinators of each constituency are listed on the [D-EITI website](#). The coordinators coordinate input, interests and different position within their constituency and serve as first contact point for the D-EITI Secretariat. The circle of the coordinators and the D-EITI Secretariat meet regularly to prepare the MSG meetings in order to assess positions and possible critical points beforehand. Further MSG members are invited for certain meetings depending on the topics to be discussed. The meetings of the coordinators are logged and the minutes are circulated to the coordinators for comment. Through the upload in the [internal area](#) of the D-EITI website, all MSG members can access the minutes.

The dates of the 27 coordinators meetings in the reporting period are indicated below under nr. 7 (Meetings and Minutes)

Working groups

The MSG can set up (permanent and ad hoc) working groups for special tasks and topics. Section 4 of the [MSG's Terms of Reference](#) covers working groups. Working groups are formed to take forward particular areas of work. In general, at least one member of each stakeholder group must be represented in a working group. The working groups can invite experts for consultation. The D-EITI MSG mandates the working groups to elaborate recommendation on specific aspects of the EITI implementation in Germany. The D-EITI MSG then discusses and decides based on the working group proposals. The working groups are minuted and through the upload of the minutes in the [internal area](#) of the D-EITI Website MSG members have access to the records.

Bund-Länder AG (permanent working group - State and Federal States coordination)

Due to the federal structure of Germany, representatives of the federal states are also involved on the part of the government. Since the MSG consists of 5 full members (and 5 alternates) per stakeholder group the representation of all 16 federal states as well as all departments within the MSG membership is not possible. Therefore, the Bund-Länder AG was established to involve the representatives of the federal states and departments actively. On the one hand, the mining authorities of the federal states are responsible, for example, for the approval and supervision of mining projects. The supervision of mining authorities is often located at the ministry level which may be responsible f.ex. for economics or energy. On the other hand, the financial administrations of the federal states are responsible for the collection and administration of certain revenues. The Bund-Länder AG is a permanent working group to coordinate the federal state and federal level.

Following state authorities are represented in the Bund-Länder-AG :

- Federal Ministry for Economic Affairs and Climate Action
- Federal Ministry of Finance
- Federal and State Committee for Mining
- Ministry of Energy, Infrastructure and Digitalization, Mecklenburg Western Pomerania
- Ministry for Economic Affairs, Transport, Housing and Digitalisation, Lower Saxony
- Ministry for Environment, Energy and Nature Conservation, Thuringia
- Ministry of Finance, Hesse
- Ministry of Finance, Hamburg
- Ministry for Economic Affairs, Labour and Energy, Brandenburg
- Ministry of Finance, Brandenburg
- Ministry for Economics, Traffic, Agriculture and Winery, Rhineland-Palatinate
- Ministry of Finance, Rhineland-Palatinate
- Ministry of Economic Affairs, Industry, Climate Action and Energy of the State of North Rhine-Westphalia
- Hessisches Ministry for Economics, Energy, Traffic and Housing, Hesse
- Ministry for Economics, Innovation, Digitalisation und Energy, Saarland
- Representative of Saarland to the Federal Government

- Ministry for Energy Transition, Climate Action, Environment and Nature, Schleswig Holstein
- Bavarian Ministry of Economic Affairs, Regional Development and Energy
- Ministry for Economics, Research and Digitalisation, Saxony-Anhalt
- Ministry of Finance, Bremen
- Ministry for Economics, Labour and Tourism, Baden-Württemberg
- Ministry of the Environment, Climate Protection and the Energy Sector Baden-Württemberg
- Ministry for Economics, Labour and Transport, Saxony
- Federal Ministry of Justice
- Federal Ministry for Economic Cooperation and Development
- Federal Ministry for the Environment, Nature Conservation, Nuclear Safety and Consumer Protection
- Federal Institute for Geosciences and Natural Resources
- Federal Chancellery

AG Systematische Offenlegung (Working group - Systematic Disclosure)

The D-EITI MSG initiated a working group on systematic disclosure to discuss the inclusion of information on environmental information law (Umweltinformationsrecht) as well as exemplary approval notices in the D-EITI reporting.

The working group has met on the following dates:

- 07 July 2021
- 19 August 2021

Minutes of the meetings are recorded and circulated to working group members for comment. The minutes are made available to all MSG members.

Members:

- Karsten Kläge, Transparency International Germany
- Prof. Dr. Edda Müller, Transparency International Germany
- Ludger Radermacher, Wintershall Dea AG
- Britta Sadoun, Wintershall Dea AG (at that time. Worked for the company during the period indicated (left Wintershall Dea in 05/2022)
- Friedrich Wilhelm Wagner, LAB

- Dr. Lisa Günther, Federal Ministry for Economic Affairs and Energy
- Dr. Markus Utsch, Federal Ministry for Economic Affairs and Energy

Results:

Based on the recommendation of the working group, the D-EITI MSG decided to revise chapter 3 „Legal framework for the extractive industry” of the D-EITI report by adding a new subchapter on public access to environmental information and authorisation decisions. Furthermore, it was decided to provide an overview of the federal state and federal governments environmental portals via the [D-EITI reporting portal](#).

AG Umweltbezogene Zahlungen (Working group - Environmental Payments)

The D-EITI MSG initiated a working group on environmental payments to discuss environment-related payment flows. The working group were to specify whether the particular payments can be considered as payment flow, to evaluate their relevance and propose a coherent presentation of the information relevant to the D-EITI to the D-EITI MSG.

The working group has met on following dates:

- 17 August 2021
- 29 June 2021

Minutes of the meetings are recorded and circulated to working group members for comment. The minutes are made available to all MSG members.

Members:

- Florian Zerzawy, Green Budget Germany
- Josephine Koch, Forum on Environment & Development
- Andrea Jünemann, Federal Ministry for Economic Affairs and Energy
- Dr. Lisa Günther, Federal Ministry for Economic Affairs and Energy
- Dr. Markus Utsch, Federal Ministry for Economic Affairs and Energy
- Ludger Radermacher, Wintershall Dea AG

Results:

Based on the recommendation of the working group, the D-EITI MSG decided to:

- supplement chapter 6 “State subsidies and tax concessions” of the D-EITI report by adding information on the energy and electricity
- supplement the information on the Fuel Emission Trading Act in chapter 8 “Effects of energy transition and the structural change on the extraction of natural resources in Germany”

- include the publicly available information on water withdrawal charges from the BilRUG payment reports to chapter 7.1 “Managing human intervention and landscape” of the D-EITI report
- supplement chapter 7.1 “Managing human intervention and landscape” with information on challenges that arise in queries of compensation payments, among other the collection at the municipal level
- update the section on Coal phase-out in the D-EITI report

Working group/ exchange format – Payment Reconciliation Pilot

In order to develop the payment reconciliation pilot after its elaboration in 2020, the D-EITI MSG established an exchange format with the Independent Administrator. The format was open to all MSG members and its coordinators. Prioritized topics and results were discussed within the regular and extraordinary MSG meetings.

The working group has met on following dates:

- 23 May 2023
- 08 May 2023
- 22 March 2023
- 21 January 2022
- 18 November 2021
- 28 September 2021

Minutes of the meetings are recorded and circulated to working group members for comments. The minutes are made available to all MSG members.

Three review teams on the recommendations of the 1st Validation as well as on the changes to the EITI Standard in 2019

Thematically clustered review team were established after the 1st German Validation and after the changes to the EITI Standard in 2019. The objective was to review the D-EITI reporting, to reflect the validation recommendations as well as to discuss whether changes in the D-EITI reporting are necessary as a result of the validation recommendations and/or of the changes to the EITI Standard in 2019.

The review took place via different exchange formats (E-Mail exchanges, virtual meetings) in 2020.

Members of the Review Team 1 and 3 – on EITI Standard requirements 2.6, 4.2, 5.2, 6.2

Dr. Lisa Günther (BMW), Hauke Dierks (DIHK), Sarah Hillmann (BDI), Carola Dittmann (Stiftung Arbeit und Umwelt der IG BCE), Josephine Koch (FUE), Florian Zerzawy (FÖS), Tanja Lenz (bbs)

Members of the Review Team 2 – on EITI Standard requirements 2.1, 2.2, 2.4, 7.2

Dr. Lisa Günther (BMWi), Ludger Radermacher (K+S), Prof. Dr. Edda Müller (TI-D), Karsten Kläge (TI-D), Florian Zerzawy (FÖS), Walter Palmetshofer (OKF)

Working group – Aspects of Security of Supply

At the 25th MSG meeting, the MSG agreed to establish a working group for the revision of the security of supply chapter (Chapter 9) to keep it updated for the D-EITI reporting in 2023. For this purpose, a first consultation between the MSG Chair, a representative of the private sector and a representative of civil society took place on 16.8.23 in order to clarify the key points for the future working group work.

Working group – Energy Cost Containment programme (Energiekostendämpfungsprogramm)

At the 25th MSG meeting, the MSG agreed to establish a working group to consider whether the energy cost containment programme (Energiekostendämpfungsprogramm) should be included in the D-EITI reporting in 2023. A first meeting between a representative of the private sector and civil society is still pending.

Work shop meetings for pre-validation / 2023 Validation Germany

The D-EITI MSG discussed preparatory measures for the upcoming validation several times. With the support of the D-EITI secretariate the MSG familiarized herself with the new validation model and templates first and discussed certain aspects for the preparation of the templates throughout the year 2023.

Meetings:

- **16.09.2022; 23rd MSG meeting:** presentation of new validation model, indicative timeframe, preparatory steps
- **19.05.23; 8th extraordinary MSG meeting:** agreement of preparation of templates by the D-EITI secretariat for further input from the MSG members. Planning of exchanges with the International EITI Secretariat on May 31st and June 1st, 2023
- **06.09.2023; Exchange of MSG members:** on standard requirements in preparation of the 2023 validation: 2.5 (Beneficial Ownership), 4.7 (Level of disaggregation), 3.2 (Production data), gender in mining
- **14.09.2023; 43rd coordinators meeting:** preparation of 26th MSG-Meeting and discussion of stakeholder statements on standard requirements 2.5, 3.2, 4.7, gender in mining
- **20.09.2023; 26th MSG meeting:** discussion of prepared validation templates and decision for submission to the EITI International Secretariat / Validation team

MSG Terms of Reference and practices

4. Link(s) to publicly available MSG Terms of Reference and/or other documents containing the provisions of Requirement 1.4.b.

The latest MSG Terms of Reference are available on the D-EITI Website:

https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx

5. Date of MSG approval of its latest Terms of Reference or similar document containing the provisions under EITI Requirement 1.4.b.

Last changes were approved on 20 September 2023 by the D-EITI MSG.

6. MSG's policies and practices. Please fill out the table below.

Elements of MSG Terms of Reference (1.4.b)		
	<p><i>Where is the policy documented?</i></p> <p>Multi stakeholder group terms of references (MSG ToR)</p> <p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	.

The role, responsibilities and rights of the MSG		
Definition of the role, responsibilities and rights of the MSG and its members.	<p>MSG ToR, § 1 Aufgaben</p> <p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p><i>[Have the roles, responsibilities and rights been respected in practice?]</i></p> <p>Yes, the roles and the responsibilities of each stakeholder group have been respected.</p>
Adherence to the EITI Association code of conduct, including addressing conflicts of interest.	<p>MSG ToR, § 6 Interessenkonflikte und Verhaltenskodex</p> <p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p><i>[Has the code of conduct been adhered to in practice? If conflicts of interest have emerged, how have these been addressed?]</i></p> <p>The EITI Association Code of Conduct is anchored in the D-EITI Multi stakeholder group terms of references and has been adhered to.</p> <p>No conflicts of interest have emerged.</p>
Approval of work plans and oversight of implementation		
Approval of annual work plans.	<p>MSG ToR, § 1 Aufgaben, (4), Punkt 6</p> <p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p><i>[Did the MSG approve the latest work plan? If yes, when?]</i></p> <p>The D-EITI MSG has approved all the work plans during the period under review. The approval dates of the yearly work plans are as follows:</p> <p>Work plan for 2019: 27.05.2019 Work plan for 2020: 07.05.2020 Work plan for 2021: 23.06.2021 Work plan for 2022: 21.07.2022 Work plan for 2023: 03.02.2023, update 20.09.2023</p> <p>The work plans and the yearly monitoring of the work plans are available in the media library of the D-EITI website: Dokumente - D-EITI</p>
Oversight of the EITI reporting process and engagement in Validation, including ap-	<p>MSG ToR, § 1 Aufgaben, (4), Punkt 5, Punkt 4, Punkt 3</p>	<p><i>[Is the MSG providing effective oversight of implementation? Has the MSG approved ToRs and EITI Reports? If yes, when?]</i></p>

<p>proval of Independent Administrator ToRs and EITI Reports.</p>	<p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p>During the period covered by this validation the MSG have provided effective oversight on all aspects of implementation.</p> <p>MSG approval D-EITI reports:</p> <p>In some cases the contents of the chapters of the D-EITI reports were decided on individually throughout the months before publication. In a last step the MSG decided to publish the finalised reporting by decision on the publication procedure. Therefore, below listed are the dates for the decision for the publication procedure as well as the publication date of the respective D-EITI reports.</p> <p><u>3rd D-EITI report</u></p> <p>Approval of publication procedure: 18.12.2020</p> <p>Publication date: 22.12.2020</p> <p><u>4th D-EITI report</u></p> <p>Approval of publication procedure: 03.12.2021</p> <p>Publication date: 23.12.2021</p> <p><u>5th D-EITI report</u></p> <p>Approval of publication procedure: 30.11.2022</p> <p>Publication date: 22.12.2022</p> <p>MSG approval ToRs Independent Administrator:</p> <p>ToR Independent Administrator (reporting year 2020): 16.07.2020</p> <p>MSG decision of key points for further development of the reconciliation pilot which were incorporated into the previous ToR Independent Administrator accordingly (reporting year 2021): 26.05.2021</p> <p>ToR Independent Administrator (reporting year 2022): 30.05.2022</p> <p>ToR Independent Administrator (reporting year 2023): 26.05.2023</p> <p>An overview of the resolutions can be found on the D-EITI Website: Dokumente - D-EITI</p>
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Internal governance rules and procedures		
<p>Inclusive decision-making process throughout implementation, with each constituency being treated as a partner and with the right to table issues.</p>	<p>MSG ToR, § 1 Aufgaben, (2)</p> <p>MSG ToR, § 3 Sitzungen (6)</p> <p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p><i>[Have all MSG members been able to table issues for discussion in practice?]</i></p> <p>All MSG members are able to table issues in MSG meetings as well as all other meetings.</p> <p>For MSG meetings, the chair of the MSG prepares an agenda draft and sends it to MSG members in advance. All MSG members and its alternates have the right to propose changes.</p> <p>During the MSG meetings all members have an equal right to speak.</p>
<p>Procedures for nominating and changing multi-stakeholder group representatives, incl. alternates</p>	<p>MSG ToR, § 2 Vorsitz, Mitgliedschaft und Geschäftsführung, (2) und (4)</p> <p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p><i>[Indicate the practice in Part I and in constituency-specific questionnaires.]</i></p> <p>The stakeholder groups nominate their representatives in an independent process.</p> <p>Each of the three stakeholder groups nominates alternates for the members of their constituency. The alternates are appointed in accordance with the procedure for members.</p> <p>Members and their alternates are appointed for a period of at least two years by the Federal Government.</p> <p>Each stakeholder group reviews regularly the composition of the respective stakeholder group and informs the D-EITI secretariat as well as the Head of the MSG if changes were decided. Please see for stakeholder specific procedures in Part II, III and IV below.</p> <p>Every new member and alternate of the MSG will be invited to an onboarding session with the D-EITI Secretariat.</p>
<p>Decision-making procedures, e.g.</p>	<p>MSG ToR, § 5 Beschlussfassung und Geschäftsgang</p>	<p><i>[Have the decision-making procedures been followed in practices? Has the MSG taken any decisions by vote?]</i></p>

<p>rules for voting and quorum</p>	<p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p>In line with the consensus principle of the EITI, the D-EITI MSG takes all decisions unanimously.</p> <p>The ToR set out a voting procedure in case no consensus can be reached.</p> <p>However, during the period under review, the MSG always reached consensus and all decisions were taken unanimously.</p>
<p>Duration of the MSG's mandate</p>	<p>MSG ToR, § 2 Vorsitz, Mitgliedschaft und Geschäftsführung, (2)</p> <p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p><i>[Have provisions regarding the duration of the MSG's mandated been respected in practice?]</i></p> <p>Members and their alternates are appointed for a period of at least two years by the Federal Government.</p> <p>Renewals of the mandate are possible.</p> <p>In practice, the provisions regarding the duration of the MSG's mandated has been respected.</p>
<p>Per diems</p>	<p>MSG ToR, § 2 Vorsitz, Mitgliedschaft und Geschäftsführung, (10)</p> <p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p><i>[Were per diems for MSG meetings paid out in the period under review? If yes, what was the per diem per meeting and how much was paid out in total?]</i></p> <p>Members of the D-EITI MSG do not receive per diems for the participation in particular MSG meetings.</p> <p>Travel expenses were covered in the period under review for MSG members for traveling to EITI Board meetings (participation as observer) and to the 2019 Global Conferences in Paris, France and 2023 Global Conference in Dakar, Senegal.</p> <p>Ca. 12.000 Eur travel expenses were paid out in total within the period under review.</p> <p>Moreover overall funds were provided to support the equal participation of the civil society organisations of the D-EITI. The financial support is disclosed on the D-EITI Website (https://d-eiti.de/eiti-in-deutschland-akteure-2/)</p> <p>All financial support was provided via GIZ and according to the legal provisions for travel reimbursements and grants contracts in Germany. No direct payment from the government was issued in order</p>

		ensure that all MSG members operate freely without any direct dependencies within the MSG or with the MSG chair.
Frequency of meetings	<p>MSG ToR, § 3 Sitzungen, (3)</p> <p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p><i>[How often did the MSG meet in the period under review?]</i></p> <p>In the period under review the MSG has met 19 times (including 3 special meetings and exchanges with the Independent Administrator and the international EITI Secretariat)</p> <p>This does not include meetings of the coordinators (27), meetings of the working groups and bilateral meetings of MSG members or bilateral meetings with the D-EITI Secretariat.</p>
Advance notice of meetings and timely circulation of documents	<p>MSG ToR, § 3 Sitzungen, (1)</p> <p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p><i>[How much advance notice was given for MSG meetings? How much ahead of meetings were documents circulated?]</i></p> <p>The D-EITI chair agrees via an online poll on a date with the members of the MSG. The MSG is supported by the D-EITI Secretariat in this process. The meetings are usually confirmed a few months in advance.</p> <p>According to the ToR the draft of the agenda has to be circulated 4 weeks in advance of a MSG meeting. Documents shall be circulated 3 weeks in advance.</p> <p>In practice the time frames set out in the ToR cannot always be met, since short notice changes and revisions of the documents occur.</p>
Record-keeping	<p>MSG ToR, § 3 Sitzungen, (5)</p> <p>https://d-eiti.de/wp-content/uploads/2023/09/Geschaeftsordnung_D-EITI-MSG.docx</p>	<p><i>[Were written records kept of MSG discussions in the period under review?]</i></p> <p>The D-EITI secretariat takes minutes of every MSG meetings taking into consideration the Chatham House Rule. The minutes are agreed and commented on by all MSG members in an electronic circulation procedure. After their finalization the minutes are uploaded on the D-EITI Website and publicly available:</p> <p>Dokumente - D-EITI</p>

Other aspects covered in the ToR that the MSG wishes to highlight		
Assignment of the management of the D-EITI Process	D-EITI secretariat ToR https://d-eiti.de/wp-content/uploads/2023/09/Geschäftsordnung_D-EITI-MSG.docx	Via the MSG ToR the MSG assigns the management of the D-EITI Process to the D-EITI Sekretariat, in order to ensure continuing technical and organisational support to the Head of the MSG as well as to all three Stakeholder groups. The Responsibilities of the D-EITI Secretariat are defined in the ToR of the D-EITI Secretariat.
Diversity of MSG members	MSG ToR, § 2 Vorsitz, Mitgliedschaft und Geschäftsführung (2) https://d-eiti.de/wp-content/uploads/2023/09/Geschäftsordnung_D-EITI-MSG.docx	The MSG assumes responsibility for the diversity of its members, amongst other with regard to the gender equality.

MSG meetings and minutes

7. Please provide the dates and a link to the published minutes of MSG meetings that have taken place in the period under review or provide any unpublished minutes as an attachment.

<p><u>MSG Meetings:</u> All minutes are available publicly on the D-EITI Website: https://d-eiti.de/mediathek-dokumente/</p> <p>14th MSG-meeting on 10.04.2019 15th MSG-meeting on 03.05.2019 16th MSG-meeting on 30.10.2019 17th MSG-meeting on 19.02.2020 18th MSG-meeting on 09.07.2020 19th MSG-meeting on 26.11.2020 Exchange between the MSG and the international EITI Secretariat on the Payment Reconciliation Pilot on 25.01.2021 Exchange between the MSG and the IA on the Payment Reconciliation Pilot on 29.01.2021 20th MSG-meeting on 15.04.2021 21st MSG-meeting on 03.12.2021 22nd MSG-meeting on 05.04.2022 23rd MSG meeting on 16.09.2022 24th MSG meeting on 30.11.2022 25th MSG meeting on 26.05.2023 4th Special MSG-meeting on 19.11.2018</p>

5th Special MSG-meeting on 12.02.2021
6th Special MSG-meeting on 26.05.2021
7th Special MSG-meeting on 16.02.2022
8th Special MSG-meeting on 19.05.2022
9th Special MSG-meeting on 19.04.2023

Coordinators Meetings:

All minutes are available publicly in the internal area of the D-EITI Website:

<https://d-eiti.de/intern-msg/>

19st Coordinators Meeting – 11.12.2018
20st Coordinators Meeting – 22.01.2019
21st Coordinators Meeting – 12.03.2019
22nd Coordinators Meeting - 29.05.2019
23rd Coordinators Meeting - 30.07.2019
24th Coordinators Meeting - 30.09.2019
25th Coordinators Meeting - 09.12.2019
26th Coordinators Meeting - 07.07.2020
27th Coordinators Meeting - 01.09.2020
28th Coordinators Meeting – 26.10.2020
29th Coordinators Meeting – 24.11.2020
30th Coordinators Meeting – 14.12.2020
31st Coordinators Meeting – 19.02.2021
Consultation on current state of partial validation on Beneficial Ownership – 16.03.2021
32nd Coordinators Meeting – 18.03.2021
33rd Coordinators Meeting – 07.04.2021
34th Coordinators Meeting – 08.09.2021
35th Coordinators Meeting – 10.11.2021
36th Coordinators Meeting – 17.11.2021
37th Coordinators Meeting – 10.02.2022
38th Coordinators Meeting – 30.03.2022
Preparatory meeting for 8th extraordinary MSG-Meeting – 16.05.2022
39th Coordinators Meeting – 13.09.2022
40th Coordinators Meeting – 28.11.2022
41st Coordinators Meeting – 03.03.2023
42nd Coordinators Meeting – 05.04.2023
43rd Coordinators Meeting - 14.09.2023

MSG approval

8. Date of MSG approval of this submission.

20.09.2023

Part II: Government engagement

The objective of this [requirement](#) is to ensure a full, active and effective government lead for EITI implementation, both in terms of high-level political leadership and operational engagement, as a means of facilitating all aspects of EITI implementation.

MSG's self assessment Not applicable / Not met / Partly met / Mostly met / Fully met / **Exceeded**

Justification: The government institutions are highly engaged in the process. High level political leadership is ensured by the state secretary of the Federal Ministry for Economics and Climate Action, Dr. Franziska Brantner. The MSG is chaired by the head of the Directorate-General for industrial policy, Mr. Bernhard Kluttig. The deputy chair is provided by the head of division for Mineral resources, circular economy, supervision of Federal Institute for Geosciences and Natural Resources, Dr. Peer Hoth. The operational work is carried out by members of the division who coordinate the stakeholder-group of the government. Furthermore, the responsible division coordinates the governmental work for D-EITI between all affected departments on federal and regional level, ensures effective exchange for each MSG decision and obtains the necessary data and information from expert authorities for D-EITI reporting. The division also ensures the continuous work of the D-EITI secretariat and is responsible for the implementation budget from which means for the stakeholder group of the civil society are retrieved.

This questionnaire seeks to collect information from government MSG members about the engagement of the government in the EITI process from 01 November 2018 to 30 September 2023. Government MSG members are requested to fill out the form together and either submit it directly to the Validation team (xxx@eiti.org) or request the National Coordinator to submit it. Government MSG members may also mandate the National Coordinator to fill out the questionnaire. The deadline for submitting the form to the Validation team is 01 October 2023. It is recommended that government MSG members coordinate to agree one submission. Diverging views within the constituency can be documented in the form. The signatories of the submission should be indicated at the bottom of the form. Stakeholders may contact the Validation team directly to provide additional views.

1. Examples of statements or actions in support of the EITI and/or matters in the scope of the EITI Standard by high-level government representatives, such as ministers or the head of state.

- Press release in German and English and corresponding meeting with national and international press to promote the 5. D-EITI report after publication of the newly added special chapter on security of supply in Germany. (Dr. Franziska Brantner and representatives of stakeholder groups of the MSG)
- Participation and discussion in strategic MSG meeting in May 2023 (Dr. Franziska Brantner)
- Greeting messages of the respective national champion in every D-EITI report.

- Participation BDI Rohstoffkongress 2022 (20.10.2022) – panel discussion (Dr. Franziska Brantner)
- Participation Alternative Rohstoffwoche (10.- 17.10.2022) und Rohstoffgipfel – panel discussion (Dr. Franziska Brantner)
- Webinar „The role of women in the construction of a more sustainable economy: experiences from the mining and energy sectors“ (20.05.2021, with national champion Ms. Elisabeth Winkelmeier-Becker)
- Webinar "Gender equality in the extractive sector" (01.10.2020, national champion Ms. Elisabeth Winkelmeier-Becker)- Press release of state secretary Oliver Wittke on the successful 1st validation of the D-EITI (06.2019)
- Open Government Partnership: Updates on the EITI implementation in Germany in the National action plans (NAP), supported by the Office of the Federal Chancellor (Bundeskanzleramt)
- Open Government Partnership: publication on the D-EITI as “Pioneer in raw material transparency” <https://www.open-government-deutschland.de/opengov-de/vorreiter-bei-der-rohstofftransparenz-1610818>

2. Name and position of senior individual leading implementation.

National champion:

2013-2018 Mr. Uwe Karl Beckmeyer, Parliamentary State Secretary at the Federal Ministry for Economic Affairs and Climate Action and the Federal Government’s special representative for the implementation of the international initiative for more transparency in the extractive sector (Extractive Industries Transparency Initiative – EITI) in Germany (D-EITI).

2019-2021 Ms. Elisabeth Winkelmeier-Becker, Parliamentary State Secretary at the Federal Ministry for Economic Affairs and Climate Action and the Federal Government’s special representative for the implementation of the international initiative for more transparency in the extractive sector (Extractive Industries Transparency Initiative – EITI) in Germany (D-EITI).

Since 2022 Dr. Franziska Brantner, Parliamentary State Secretary at the Federal Ministry for Economic Affairs and Climate Action and the Federal Government’s special representative for the implementation of the international initiative for more transparency in the extractive sector (Extractive Industries Transparency Initiative – EITI) in Germany (D-EITI).

Head of D-EITI MSG: Mr. Bernd Kluttig, Head of department at the Federal Ministry for Economic Affairs and Climate Action

Deputy head of D-EITI MSG: Dr. Peer Hoth, Head of division at the Federal Ministry for Economic Affairs and Climate Action

3. Describe the process for nominating government MSG members, including whether consideration was given to ensuring the seniority and diversity of representation.

Agreed procedure for selecting government MSG members	Practice in the period under review
<p><u>Core criteria for selection:</u></p> <p>MSG Members of the government should cover all federal ministries involved in the management of the German extractive sector</p> <p>MSG members should represent both the federal level as well as the level of the federal states</p> <p>state authorities receiving payments from the extractive industry should be directly represented</p> <p><u>Procedure:</u></p> <p><i>The selection of members depends on which department, division or unit is responsible within the institution for EITI implementation and the topics which are relevant for D-EITI. For example, the division for mineral raw materials is responsible for EITI implementation at the BMWK and provides a member.</i></p> <p>Regular review of selection procedure and constitution of the stakeholder group.</p> <p>The national champion appoints new members by an official letter from the D-EITI special representative at BMWK.</p>	<p>According to procedure.</p>

4. If any MSG representatives changed during the MSG's term, please describe the process followed for replacing them.

Agreed procedure for replacing government MSG members	Practice in the period under review
<p>Every state institution responsible for seconding MSG members or alternates takes care of the continuing representation of the authority. The decision about the selection</p>	<p>The practical implementation is in line with the agreed procedure.</p>

of new members lies with the respective authority. Subsequently the stakeholder group, the D-EITI Secretariat as well as the other stakeholder groups will be informed.	
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5. Government resources directed to EITI implementation in the period under review, such as staff and funding for work plan activities.

The government provides in kind resources by seconding government representatives to the MSG (staff costs). Additional resources are provided by other state authorities involved in the D-EITI reporting (beyond the MSG members, in particular the Bund-Länder-AG and all state institutions which are involved in the topics of the D-EITI reporting). Further staff costs are provided in kind additionally by involving the working group with representatives of the federal states.

The government provides additional in kind resources by hosting MSG meetings in the ministry regularly.

The government provides an annual budget for the implementation of the EITI in Germany which is commissioned to the D-EITI Secretariat (GIZ) and amounts to 800.000€ per year maximum in total. This includes costs for the independent administrator, layout, webportal and translation costs for D-EITI reporting, costs for the D-EITI Secretariat staff and external consultants as well as travel costs for observers to EITI Board Meetings outside of the governmental stakeholder group. Furthermore, the financial support of civil society organisations is also retrieved from the budget above.

6. Efforts undertaken by the government to ensure an enabling environment for company and CSO participation in the EITI and/or to remove any obstacles to EITI disclosures.

- The government (BMWK) has assured the political will to the MSG for a continuous implementation of EITI in Germany
- BMWK has decided in 2022 to significantly increase the available budget for the financial support of the CSOs (in total 115.000 Euro annual maximum).
- BMWK hosts together with the D-EITI secretariat hybrid MSG meetings and provides possibilities for flexible participation
- BMWK is available for bilateral talks and meetings, e.g. with the special representative
- The head resp. deputy head of the MSG assures neutral moderation of MSG meetings

- Extensive support of decision making procedures not only within meetings but also via written procedures.
- In the course of the drafting process of the annual report, the government proves every request of the stakeholders for any amendments to the report and discusses it in the MSG.
- The pilot which the MSG has developed aims to facilitate the disclosure procedures for companies (f.ex. tax secrecy waiver is not required for companies). The government assists the companies with the disclosure process where necessary.
- The scope of D-EITI disclosures for companies follows the requirements of the commercial law in order to avoid additional burdens for companies which take part in D-EITI.

Liaison with the broader constituency

3. Describe the government constituency's structures, policies and practices for coordination on EITI matters.

Please provide supporting evidence. If the evidence is available online, please provide a link. If it is not, please annex the evidence to this questionnaire.

Structures in place for liaison with the broader constituency, such as coordination groups	Policies and agreed procedures for liaison with the broader constituency	Practice in the period under review
<p>The annual reporting process is coordinated in the Bund-Länder-AG. Please see description on page 11.</p> <p>As far as a mining topic is concerned for which the Bund-Länder-Ausschuss Bergbau (LAB, Federal and State Committee for Mining) is responsible, the topic is issued in a meeting of the body (or in a written procedure).</p> <p>As far as any other state authority is affected by a certain topic or question (for example the Federal Ministry of Labour for employee data), it will be consulted by the coordinator at BMWK.</p>	<p>The consultation process is usually being conducted via written request by mail. Unless certain input is required (f.ex. changes in the legal framework, new data), an agreement is usually reached via informal silence procedure. Moreover, bilateral coordination is carried out if necessary. This reflects the common participation procedures on the government side.</p>	<p><i>The coordinator at BMWK involves the responsible departments within the federal government, the Bund-Länder AG and other responsible authorities in the course of the annual reporting process.</i></p> <p><i>In addition, every change and amendment in the annual report is being consulted with all authorities which are responsible for the affected topics according to the regulations on responsibility.</i></p>

<p>Moreover, in 2022 the community authorities have been consulted via “Deutscher Städte- und Gemeindebund” and “Deutscher Städtetag”. BMWK has conducted a collection of information via questionnaire on the municipal trade tax which has arisen from a request of civil society (see minutes of the 3rd exchange meeting of the MSG with the IA, 21.01.2022, p. 2, 3; concept for implementing the alternative quality assurance procedure tested in the the D-EITI pilot for the 5th D-EITI report, p. 4; Annex 7 and 8 of the report of the IA on the D-EITI payment reconciliation pilot).</p>		
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4. Have MSG members sought input from the broader constituency on the following documents. If yes, how and did you receive input?

- a) The latest EITI work plan, including priorities for EITI implementation
- b) The latest annual review of outcomes and impact

<p>a) D-EITI work plans are reviewed not only by the governmental MSG members. The above mentioned working group with the federal states is involved by the national coordinator.</p> <p>b) A monitoring of the last years work plan is done yearly as well as the progress report. Before finalisation and decision by the MSG the above mentioned working group with the federal states is involved by the national coordinator.</p>
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Use of data

5. Have government representatives contributed to communicating or using EITI data, including participation in outreach activities?

If yes, please provide examples with links to any supporting evidence, such as reports, speeches or news articles.

- Participation of D-EITI Secretariat representing the national coordinator in an outreach meeting of the EITI International Secretariat with Romania in April 2023.
- Representation of D-EITI on Rohstoffkongress hosted by BDI in 2022
- Representation of D-EITI on “Alternative Rohstoffwoche” hosted by AK Rohstoffe in 2022
- Press meeting of Dr. Franziska Brantner on the release of the overall 5th D-EITI report package including special chapters and chapters of the IA in 2023
- BMWK has issued press articles on its website, f.ex.:

<https://www.bmwk.de/Redaktion/DE/Artikel/Industrie/gewinnung-heimischer-rohstoffe-transparenz-06.html>

<https://www.bmwk.de/Redaktion/DE/Pressemitteilungen/2023/05/20230524-5-d-eiti-bericht-veroeffentlicht.html>

<https://www.bmwk.de/Navigation/DE/Schlaglichter-der-Wirtschaftspolitik/Schlaglichter-der-Wirtschaftspolitik-10-2022/schlaglichter-10-2022.html>

- Overview on latest press releases and contributions of other government representatives is available on the D-EITI website (hosted by GIZ):

<https://d-eiti.de/mediathek-news/>

- The online platform for D-EITI data and information (hosted by GIZ):

<https://rohstofftransparenz.de/>

- Participation at the 9th EITI Global Conference in Dakar, Senegal

see also [Mediathek News - D-EITI](#)

Sign-off

Please include below the names and contact details of the MSG members from the government constituency who sign off on submitting the above information to the Validation team. Add rows as needed.

Name	Email address or telephone number	Date	Signature (optional)
Bernhard Kluttig	Bernhard.Kluttig@bmwk.bund.de	20.09.2023	

Dr. Peer Hoth (Deputy)	peer.hoth@bmwk.bund.de	20.09.2023	
Dr. Robert Plachta	Robert.Plachta@bmf.bund.de	20.09.2023	
Bodo Bajorat (Deputy)	Bodo.Bajorat@bmf.bund.de	20.09.2023	
Friedrich Wilhelm Wagner	info@assdb.de	20.09.2023	
Helmuth von Nicolai (Deputy)	Helmuth.vonNicolai@em.mv-regierung.de	20.09.2023	
Norbert Conrad	norbert.conrad@mw.niedersachsen.de	20.09.2023	
Jörg Bodenstone (Deputy)	joerg.bodenstone@tmuen.thueringen.de	20.09.2023	
Torsten Falk	Torsten.Falk@hmdf.hessen.de	20.09.2023	
Alexander Mayer (Deputy)	alexander.mayer@fb.hamburg.de	20.09.2023	

Part III: Industry engagement

The objective of this [requirement](#) is to ensure that extractive companies are fully, actively and effectively engaged in the EITI, both in terms of disclosures and participation in the work of the multi-stakeholder group, and that the government ensures an enabling environment for this.

MSG's self assessment

Not applicable / Not met / Partly met / Mostly met / Fully met / **Exceeded**

Justification: The extractive companies are highly committed to the EITI process, both by significant and active contribution as MSG members as well as by disclosing their payment data to the state authorities with a coverage of the overall extractive sector in Germany of over 98%. Furthermore the context reporting is constantly supported not only by providing further industry data through the context reporting but also by initializing new chapters which provide information beyond the standard requirements. By direct membership of companies in the MSG the participation of all relevant parts of the extractive sectors in Germany (crude oil and gas, coal, salts, quarried natural resources) is ensured with no exception since the very first beginning of the EITI implementation in Germany in 2013/14. Furthermore the overall stakeholder group is fully represented through a MSG Membership of the two biggest company associations of Germany, the BDI and the DIHK. Priorities of the industry are fully taken into consideration within the cooperation of all MSG members and above all the head of the MSG. Amongst others the German extractive industry is actively engaged in the EITI process because D-EITI's work has direct benefits for the industry in terms of access to regularly updated aggregated data and information regarding the entire extractive industry that is not available in this form on other platforms.

This questionnaire seeks to collect information from industry MSG members about the engagement of oil, gas and mining companies in the EITI process from 01 November 2018 to 01 October 2023. Industry MSG members are requested to fill out the form together and either submit it directly to the Validation team (xxx@eiti.org) or request the National Coordinator to submit it. The deadline for submitting the form to the Validation team is 01 October 2023. It is recommended that industry MSG members coordinate to agree one submission. Diverging views within the constituency can be documented in the form. The signatories of the submission should be indicated at the bottom of the form. Stakeholders may contact the Validation team directly to provide additional views.

MSG nominations

1. Describe the process for nominating industry MSG members, including whether consideration was given to ensuring the diversity of representation.

Please provide supporting documentation related to the latest nomination process. This could include the invitation to participate in the MSG, a list of interested organisations or individuals, constituency ToRs, minutes of the election process, etc. If the evidence is available online, please provide a link. If it is not, please annex the evidence to this questionnaire.

Agreed procedure for selecting industry MSG members	Practice in the period under review
<p><u>Core criteria for selection:</u></p> <p>MSG Members of the industry should cover all relevant sectors of the extractive industry, such as Crude Oil and Gas, Coal, Salts, building minerals/aggregates. Due to limited number of memberships this can be done via the representation of federations and associations, where the respective extractive companies are members.</p> <p><i>At least two representative from companies taking part in the D-EITI reporting.</i></p> <p><u>Procedure:</u></p> <p>Contact point for membership requests is the coordinator of the industry MSG.</p>	<p>The practical implementation is in line with the agreed procedure.</p>

2. If any MSG representatives changed during the MSG's term, please describe the process followed for replacing them.

Agreed procedure for replacing industry MSG members	Practice in the period under review
<p>The admission process to the stakeholder group is as follows:</p> <p>The association of a respective industry is used to call for companies to participate in the private sector stakeholder group. Interested companies contact their respective industry association, which then forwards the expression of interest to the stakeholder group. The aim of the stakeholder group is to ensure that each sector is represented by at least one company. This has been achieved so far.</p> <p>Every company, federation or association responsible for seconding MSG members or alternates takes care of the continuing representation of the entity. The decision about the selection of new members lies with the respective company, federation or association and their respective in-house governance procedures. Subsequently the stakeholder group, the D-EITI Secretariat as well as the other</p>	<p>The practical implementation is in line with the agreed procedure.</p>

stakeholder groups will be informed about the selection of new members.	
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Liaison with the broader constituency

3. Describe the company constituency's structures, policies and practices for coordination on EITI matters.

Please provide supporting evidence, such as constituency ToRs, dates and minutes of constituency meetings, number of emails to mailing lists, etc. If the evidence is available online, please provide a link. If it is not, please annex the evidence to this questionnaire.

Structures in place for liaison with the broader constituency, such as industry associations	Policies and agreed procedures for liaison with the broader constituency	Practice in the period under review
The relevant industry federations and associations are represented directly in the MSG	Industry federations and associations contribute the interests of their members to the MSG discussion and decision making.	<p><i>Federation of German Industries (BDI) informs all members of the Raw Materials Policy Committee about the publication of the D-EITI Annual Report via circular letter.</i></p> <p><i>The Raw Materials Policy Committee takes place on a bi-annual base. Members of the committee come from the raw material producing sector, from further processing and the acceptance of final products.</i></p> <p><i>The BDI newsletter "Agenda" also informs about the publication of the D-EITI Annual Report. This newsletter reaches other economic sectors and societal stakeholders in addition to the extractive industries.</i></p>

4. Have MSG members sought input from the broader constituency on the following documents. If yes, how and did you receive input?

- a) The latest EITI work plan, including priorities for EITI implementation
- b) The latest annual review of outcomes and impact

a) D-EITI work plans are reviewed by all companies representatives within the MSG. The input from the broader constituency is ensured by the MSG members representing the industry federations and associations of the German extractive sector.

b) A monitoring of the last years work plan is done yearly as well as the progress report. For finalization and decision by the MSG the input from the broader constituency is ensured by the MSG members representing the industry federations and associations of the German extractive sector.

Use of data

5. Have company representatives contributed to communicating or using EITI data, including participation in outreach activities?

If yes, please provide examples with links to any supporting evidence, such as reports, blogs or news articles.

The BDI Rohstoffkongress is a raw materials congress that takes place every two years. At the last congress in 2022 D-EITI was present with an own stand.

BDI representatives are regular commentators in German media on a broad range of raw materials topics (e.g. international cooperation or security of supply) and include D-EITI and EITI work in their commentaries.

Matthias Wachter, head of “international cooperation, security, raw materials, and space” department was invited as an expert on 30.11.2022 in the economic committee in the German Bundestag to speak about measures to secure the supply of raw materials. Matthias Frederichs, Director General of “Bundesverband Baustoffe – Steine und Erden”, and also a MSG member was invited as an expert, too. Both referenced to their D-EITI work.

BDI published several raw materials policy papers, amongst others “Rohstoffe Made in Germany – Schlüssel für eine nachhaltige Wirtschaft” and “Fünf-Punkte-Forderung zur Versorgung mit kritischen Rohstoffen”.

BDI also comprehensively and regularly publishes D-EITI- and EITI-related information on its website: https://eur04.safelinks.protection.outlook.com/?url=https%3A%2F%2Fbdi.eu%2Fsuche%3Ftx_solr%255Bq%255D%3DPandemie%26cHash%3Df1f996a2215a11d0a249e6d1bbcb1d0d&data=05%7C01%7Cs.steinicke%40bdi.eu%7C0c0b67fc7fc8462110a608dba7c09055%7Cc5bfe5a6c213431b92a4ca23bb890a78%7C1%7C0%7C638288217716884477%7CUnknown%7CTWFpbGZsb3d8eyJWI-joiMC4wLjAwMDAiLCJQIjoiV2luMzliLCJBTiI6IkhW-wiLCJXVCi6Mn0%3D%7C3000%7C%7C%7C&sdata=rKRL8LgWN-HZs6zLVH1%2FQOYJe0BYay2II9en8uoP3p1s%3D&reserved=0

Wintershall Dea, for example, refers to EITI and D-EITI on its corporate website, in its Sustainability Report as well as on its social media channels (e.g. LinkedIn):

https://uk.linkedin.com/posts/wintershaldea_dokumente-d-eiti-activity-7067149505585893377-v5uq

<https://wintershaldea.com/en/what-we-believe/sustainability/performance-reporting#rating-reporting-standards-amp-frameworks>

<https://wintershaldea.com/en/what-we-believe/sustainability/performance-reporting#sustainability-reports> (page 17)

<https://wintershaldea.com/en/newsroom/wintershal-dea-supports-transparency-initiative-commodities-sector>

The German Building Materials Association reports about D-EITI on its website:
<https://www.baustoffindustrie.de/themen/steuern>

Obstacles to participation

6. If company representatives have experienced any obstacles to participation in the EITI, please describe and specify these obstacles below or convey your concerns directly to the Validation team (XXX@eiti.org) by the commencement of the Validation. Please provide supporting evidence if available. Requests for confidentiality will be respected.

none

Sign-off

7. Please include below the names and contact details of the MSG members from the industry constituency who sign off on submitting the above information to the Validation team. Add rows as needed.

Name	Email address or telephone number	Date	Signature (optional)
Matthias Wachter	m.wachter@bdi.eu	20.09.2023	
Hauke Dierks (Deputy)	dierks.hauke@dihk.de	20.09.2023	

Dr. Martin Wedig	martin.wedig@v-r-b.de	20.09.2023	
Birgit Schroeckh (Deputy)	birgit.schroeckh@braunkohle.de	20.09.2023	
Dr. Matthias Frederichs	m.frederichs@bvbaustoffe.de	20.09.2023	
Tanja Lenz (Deputy)	t.lenz@bvbaustoffe.de	20.09.2023	
Markus Zeise	markus.zeise@wintershalldesa.com	20.09.2023	
Ludger Radermacher (Deputy)	ludger.radermacher@wintershalldesa.com	20.09.2023	
Lena Kristin Michel	LenaKristin.Michel@k-plus-s.com	20.09.2023	
Sven Siems (Deputy)	Sven.Siems@k-plus-s.com	20.09.2023	

Part IV: Civil society engagement

<p>The objective of this requirement is to ensure that civil society is fully, actively and effectively engaged in the EITI process, and that there is an enabling environment for this. The active participation of civil society in the EITI process is key to ensuring that the transparency created by the EITI can lead to greater accountability and improved governance of oil, gas and mineral resources. The provisions related to civil society engagement seek to establish the conditions that permit this to occur over time.</p>	<p>MSG's self assessment</p> <p>Not applicable /Not met / Partly met / Mostly met / Fully met / Exceeded</p> <p>Justification: The civil society is highly committed to the D-EITI Process and contributes actively to the constant improvement of the D-EITI reporting. Especially the very diverse involvement of different civil society institutions as well as changes in the D-EITI reporting particularly resulting from civil society interventions show, that the civil society as stakeholder group can act as partner on an equal footing. Furthermore the civil society is constantly seeking for financing options to take part in the process. Financial support to act independently were found and provided in the reporting period.</p>
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This questionnaire seeks to collect information from civil society MSG members about the engagement of civil society in the EITI process from 01 November 2018 to 01 October 2023. Civil society MSG members are requested to fill out the form together and either submit it directly to the Validation team (xxx@eiti.org) or request the National Coordinator to submit it. The deadline for submitting the form to the Validation team is 01 October 2023. It is recommended that civil society MSG members coordinate to agree one submission. Diverging views within the constituency can be documented in the form. The signatories of the submission should be indicated at the bottom of the form. Stakeholders may contact the Validation team directly to provide additional views.

MSG nominations

1. Describe the process for nominating civil society MSG members, including whether consideration was given to ensuring the diversity of representation.

Please provide supporting documentation related to the latest nomination process. This could include the invitation to participate in the MSG, a list of interested organisations or individuals, constituency ToRs, minutes of the election process, etc. If the evidence is available online, please provide a link. If it is not, please annex the evidence to this questionnaire.

Agreed procedure for selecting civil society MSG members	Practice in the period under review
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<p>In the establishment phase of the MSG in July 2014 the government (BMWi) invited civil society organisations (CSOs) to a roundtable to inform about the EITI, the opportunities and the role of MSG members from civil society. The invitation had been sent to an open CSO distribution list of 26 persons as identified in the feasibility study for German EITI candidature¹ and CSO members were encouraged by the BMWi to further disseminate the invitation to potentially interested actors. The information on the round table was also publicly available on the Germany EITI website. It was upon the invitation of the government that the trade union IG BCE was invited to participate in the MSG. To ensure the best expertise and outreach the 5 MSG member organisation were chosen based on their different core expertise, the trade union IG BCE for labor rights, Transparency International Germany e.V. (TI) for transparency expertise, the NGO coalition Forum on Environment and Development for their sustainable development and environmental issues expertise and as representative of the civil society coalition called AK Rohstoffe (works on Germany's raw material policy from a development perspective), Open Knowledge Foundation Germany e.V. for data expertise and FOES Green Budget Germany for impact of tax policy on the environment expertise.</p> <p>Each organisation (or umbrella group) chooses the own qualified representatives for MSG.</p> <p><u>Core criteria for selection:</u></p> <p>MSG Members of the civil society should cover all relevant interest groups dealing with transparency, sustainability and employment issues of the extractive sector in Germany.</p> <p><u>Procedure:</u></p> <p>Contact point for membership requests is ...</p>	<p>The practical implementation is in line with the agreed procedure.</p>
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2. If any MSG representatives changed during the MSG's term, please describe the process followed for replacing them.

Agreed procedure for replacing civil society MSG members	Practice in the period under review
<p>Every organisation responsible for seconding MSG members or alternates takes care of the continuing representation of the organisation. The decision about the selection of new members lies with the respective organisation. Subsequently the stakeholder group, the D-</p>	<p>The practical implementation is in line with the agreed procedure.</p> <p>In the period under review the CSOs had 5 changes to due position changes or personal changes within the organisations and 1</p>

<p>EITI Secretariat as well as the other stakeholder groups will be informed.</p>	<p>change due to maternity leave. The gender-balance for the period was 3 male and 2 female for full and alternate member. We are aware of the balance and are working to keep it more balanced in the future. Interested organisations are welcomed to contact the current organisations or the networks like AK Rohstoffe. We keeps the communication process as open as possible.</p>
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Liaison with the broader constituency

3. Describe the civil society constituency's structures, policies and practices for coordination on EITI matters.

Please provide supporting evidence, such as constituency ToRs, dates and minutes of constituency meetings, number of emails to mailing lists, etc. If the evidence is available online, please provide a link. If it is not, please annex the evidence to this questionnaire.

Structures in place for liaison with the broader constituency, such as networks	Policies and agreed procedures for liaison with the broader constituency	Practice in the period under review
<p>AK Rohstoffe Member organisations of FUE Netzwerk Ressourcenwende Members of FÖS Transparency International's movement CodeforGermany</p>		<p><i>In the period 2019-2022, the constituency set up a rotating coordination among its 5 organization. Each organization took over the coordination of the civil society's EITI related activities for a 3 months period. Meetings of the constituency were each time held before MSG meetings and meetings of the MSG coordinators to agree on a common position. Comments and additions of each member of the constituency to the EITI report were submitted to the approval of the whole constituency.</i></p> <p><i>In addition, some members of the constituency also coordinated some or all of their EITI related activities with further stakeholders:</i></p> <p><i>The FUE is member of the AK Rohstoffe and the Netzwerk Ressourcenwende. The email distribution lists of AK Rohstoffe and Netzwerk Ressourcenwende were used to draw attention to news on D-EITI. As a member of the AK Rohstoffe coordination</i></p>

		<p><i>group, FUE also regularly reports on the status of D-EITI activities at the AK Rohstoffe coordination meetings.</i></p> <p><i>At the Alternative Raw Materials Week from 10 to 17 October 2022 and the Raw Materials Summit of the AK Rohstoffe on 18 October 2022 in Berlin, which FUE co-organised, D-EITI was represented with an information stand in order to make D-EITI better known to the specialist public.</i></p> <p><i>FÖS has 289 members which are regularly informed and invited for discussion, through a mailing list(3.760), social media and events, about the activities of D-EITI.</i></p> <p><i>Transparency Germany has built a network of Transparency chapters that are part of the EITI MSG in their respective countries to strengthen Transparency International’s engagement within the EITI internationally. Transparency Germany organized regular meetings with these chapters (in particular Transparency Australia, Transparency Colombia, Transparency Madagascar, Transparency UK, Transparency Kazakhstan and Transparency Zambia) to discuss best practices and work on a resolution to strengthen TI’s engagement with the EITI to shape the public debate around energy transition and related corruption risks in the extractive sector. <u>This resolution was adopted at the Annual Membership Meeting 2022 of Transparency International.</u></i></p> <p><i>OKFDE is informing via their slack-channel with more than 1000 users, the Code for Germany network of more than 300 community member strong civic tech network in in 20 city focusing on doing good with data. There are also updates D-EITI updates on community events or events like chaos computer congress which had a high attendance pre-corona. We also a CS X/twitter account for outreach.</i></p>
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4. Have MSG members sought input from the broader constituency on the following documents. If yes, how and did you receive input?

- a) The latest EITI work plan, including priorities for EITI implementation

b) The latest annual review of outcomes and impact

a) D-EITI work plans are reviewed by all civil society representatives within the MSG. The input from the broader constituency is ensured by the MSG members representing member organizations in a forum organization. Furthermore members of the D-EITI MSG are in-return members of the network of German NGOs “AK Rohstoffe”, also for feedback and new innovative approaches and topics.

b) A monitoring of the last years work plan is done yearly as well as the progress report. For finalization and decision by the MSG the input from the broader civil society constituency is ensured by coordination of the networks mentioned above.

Use of data

5. Have civil society representatives contributed to communicating or using EITI data, including participation in outreach activities or use of EITI data in advocacy and campaigns?

If yes, please provide examples with links to any supporting evidence, such as reports, blogs or news articles.

Alternative Rohstoffwoche

<https://ak-rohstoffe.de/veranstaltung/rohstoffgipfel22/>

Rohstoffgipfel

<https://ak-rohstoffe.de/wp-content/uploads/2022/08/Flyer-Rohstoffgipfel22.pdf>

Publication “What use is the (D-)EITI?”

<https://www.youtube.com/watch?v=CWtlyNUmyqY&t=1s>

The Extractive Industries Transparency Initiative in Germany under scrutiny”

https://www.forumue.de/wp-content/uploads/2021/01/FUE_FOES_EITI_EN_web.pdf

D-EITI: Workshop on resource governance, transparency and climate change

<https://www.forumue.de/side-meeting-from-german-civil-society-on-social-and-ecological-impact-of-eiti-%e2%94%82-eiti-board-meeting/>

Article: „Der Rohstoffboom ist noch lange nicht zu Ende Zwanzig Jahre EITI: Beeindruckende Erfolge und große Herausforderungen“

https://www.forumue.de/wp-content/uploads/2023/07/14_Maier_Der-Rohstoffboom-ist-noch-lange-nicht-zu-Ende.pdf

Article: „Voll konform, voll transparent?“

https://www.forumue.de/wp-content/uploads/2019/06/19_Voll-konform-voll-transparent-Koch.pdf

Press release TI Germany 02.03.2021: Rohstofftransparenz: Dritter deutscher EITI-Bericht veröffentlicht

Link: <https://www.transparency.de/aktuelles/detail/article/rohstofftransparenz-dritter-deutscher-eiti-bericht-veroeffentlicht>

Interview with Helen Clark, Chair of the EITI, for the 93. edition of TI Germany's magazine „Der Scheinwerfer“, 14.12.2021

Link: <https://www.transparency.de/aktuelles/detail/article/interview-with-helen-clark-chair-of-the-extractive-industries-transparency-initiative>

TI Germany took part in an EITI outreach meeting with TI Australia on September 1st, 2022 to present the implementation of the EITI in Germany.

Statement TI Germany, PYWP, NRG1 & co, 1.11.2022: COP27: Forderungen zu Korruption und Menschenrechten im Bergbau & PWYP: Transition minerals open statement for COP 27

Link: <https://www.transparency.de/aktuelles/detail/article/cop27-forderungen-zu-korruption-und-menschenrechten-im-bergbau>

TI Germany is the representative of the region Europe, North America, and the Middle East and North Africa in the Civil Society Advisory Group (CSAG). In this function, TI Germany took part in the selection process to nominate the 10 civil society representatives on the EITI International Board for the three-year term June 2023-2026.

Link: <https://www.cbi.org/project/cbi-facilitation-csag/>

Statement Transparency International (initiative and text from TI Germany) 01.03.2023: TRANSPARENCY INTERNATIONAL: EITI MUST REINFORCE ANTI-CORRUPTION PROVISIONS

Link: <https://www.transparency.org/en/press/transparency-international-eiti-must-reinforce-anti-corruption-provisions>

Statement TI Germany 02.03.2023: Korruption im Rohstoffsektor: EITI-Standard muss verbessert werden

Link: <https://www.transparency.de/aktuelles/detail/article/eiti-standard-muss-verbessert-werden>

Press release TI Germany 24.05.2023: Rohstofftransparenz: Fünfter deutscher EITI-Bericht veröffentlicht – Versorgungssicherheit im Mittelpunkt

Link: <https://www.transparency.de/aktuelles/detail/article/fuenfter-deutscher-eiti-bericht>

Workshops of Extractive Industries Transparency Initiative together with our Ukrainian colleagues in 2019.

<https://foes.de/de-de/unsere-aufgaben/veranstaltungen/2019-09-workshops-eiti>

Workshops at Chaos Computer Congress and Chaos Computer Camp 2018 and 2019.

Obstacles to participation

6. If civil society representatives have experienced any obstacles to participation in the EITI, including the use of publicly available extractive sector data, please describe and specify these obstacles below or convey your concerns directly to the Validation team (XXX@eiti.org) by the commencement of the Validation.

The [EITI's civil society protocol](#) requires that the government ensures an enabling environment for civil society engagement in the EITI. Any concerns related to potential breaches of the protocol should be accompanied with a description of the related incident, including its timing, actors involved and the link to the EITI process. If available, supporting documentation should be provided. Requests for confidentiality will be respected.

For purposes of Validation, 'civil society representatives' refer to civil society representatives who are substantively involved in the EITI process, including but not limited to members of the multi-stakeholder group. The 'EITI process' refers to activities related to preparing for EITI sign-up; MSG meetings; CSO constituency side-meetings on EITI, including interactions with MSG representatives; producing EITI Reports; producing materials or conducting analysis on EITI Reports; expressing views related to EITI activities; and expressing views related to natural resource governance.

Provision of the EITI civil society protocol	Potential breach identified in the period under review and accompanying evidence
2.1 Expression: Civil society representatives are able to engage in public debate related to the EITI process and express opinions about the EITI process without restraint, coercion or reprisal.	No breach.

2.2 Operation: Civil society representatives are able to operate freely in relation to the EITI process.	No breach.
2.3 Association: Civil society representatives are able to communicate and cooperate with each other regarding the EITI process.	No breach.
2.4 Engagement: Civil society representatives are able to be fully, actively and effectively engaged in the design, implementation, monitoring and evaluation of the EITI process.	No breach.
2.5 Access to public decision-making: Civil society representatives are able to speak freely on transparency and natural resource governance issues, and ensure that the EITI contributes to public debate.	No breach.

Sign-off

7. Please include below the names and contact details of the MSG members from the civil society constituency who sign off on submitting the above information to the Validation team. Add rows as needed.

Name	Email address or telephone number	Date	Signature (optional)
Prof. Dr. Edda Müller	edda.mueller@t-online.de	20.09.2023	
Mickaël Roumegoux Rouvelle (Deputy)	MRoumegoux@transparency.de	20.09.2023	
Carola Dittmann	Carola.Dittmann@igbce.de	20.09.2023	
Malte Lückert (Deputy)	malte.lueckert@igbce.de	20.09.2023	

Jürgen Maier	chef@forumue.de	20.09.2023	
Anika Bender (Deputy)	bender@forumue.de	20.09.2023	
Daniel Dietrich	daniel.dietrich@okfn.de	20.09.2023	
Walter Palmetshofer (Deputy)	walter.palmetshofer@okfn.de	20.09.2023	
Florian Zerzawy	Florian.Zerzawy@foes.de	20.09.2023	
Marie Wettingfeld (Deputy)	marie.wettingfeld@foes.de	20.09.2023	

List of stakeholders suggested by the MSG for consultations regarding EITI implementation during Validation

The MSG is required to provide a list of different stakeholders whose opinions would enrich the Validation procedure. The list should include stakeholders outside the MSG from different constituencies: government, industry and civil society. This list will be an important input for the Validation team to prepare and programme consultations. The data will be processed according to GDPR regulations.

Name	Institution	Constituency	Email	Telephone (if possible)
GOVERNMENT				
Dr. Hartmut Kühne	Bund-Länder-Ausschuss Bergbau	Government	Hartmut.Kuehne@bmwk.bund.de	+49 30 18 615 7253
Nils Wortberg	Aus der Bund-Länder-AG zu D-EITI: BMUV externe Beratung (Zukunft-Umwelt-Gesellschaft (ZUG) GmbH)	Government	Nils.Wortberg.extern@bmuv.bund.de	+49 173 235 6877
(Timon Lepold) in Vertretung für Nils Wortberg	BMUV Backup für Nils Wortberg		Nils.Wortberg@z-u-g.org T15@bmuv.bund.de Timon.Lepold@bmuv.bund.de	+49 30 18305 3445
INDUSTRY				

Anne Lauenroth,	BDI / deputy head of department / Department „International Cooperation, Security, Raw materials and space flight	Industry	a.lauenroth@bdi.eu	
Britta Sadoun	Associate Director im Bereich Sustainability & Risk bei Protiviti	Industry	britta.sadoun@protiviti.com	
CIVIL SOCIETY				
Hannah Pilgrim	[AK Rohstoffe] PowerShift e.V.	Civil Society	hannah.pilgrim@power-shift.de	
Prof. Dr. Jürgen Kretschmann	RWTH Aachen [EITI/D-EITI in Curriculum] President of the Hainan Bielefeld University for Applied Sciences in Hainan, China; Rektor der THGA Bochum, emeritus; Applied Professor MRE – Institute of Mineral Resources Engineering, RWTH Aachen University;	Civil Society	mail@juergen-kretschmann.de	
Stefanie Krause	Technische Hochschule Georg Agricola, Bochum		Stefanie.Krause@thga.de	

For Validation team's use: Guiding questions for consultations on stakeholder engagement

- What are the key strengths of the constituency's engagement in the EITI?
- Obstacles or barriers to participation in the EITI, including related to any of the provisions of the civil society protocol?
- Did actors from other constituencies attempt to influence the MSG nomination process or constituency coordination?
- What are the constituency's (or organisation's) priorities for EITI?
- To what extent are the constituency's or organisation's priorities reflected in EITI implementation?
- Are other constituencies fully, actively and effectively engaged in EITI implementation?
- Any other remarks, including commentary on the MSG's functioning.
- For stakeholders not on the MSG: Commentary on opportunities to provide input to the MSG's work or agenda. Commentary on the representativeness of constituency MSG members, possible conflicts of interest and the openness of the MSG nomination process.
- Context-specific questions arising from the written input to clarify or seek further information.

For Validation team's use: Template for "Call for views on stakeholder engagement"

Call for views on progress in EITI implementation in [country]

[Summary of status of implementation, including the commencement date of Validation and the outcome of the previous Validation,]

The EITI International Secretariat is seeking stakeholder views on [Country's] progress in implementing the EITI Standard between [period under review]. Stakeholders are requested to send views to [contacts of Validation team members] by [Validation commencement date].

The EITI Standard requires that the government, extractive companies and civil society are fully, actively and effectively engaged in EITI implementation. The Secretariat is seeking views on the following questions:

1. Are the government, extractive companies and civil society fully, actively and effectively engaged in EITI implementation?
2. Are there any obstacles or barriers to the participation of any of these constituencies or their sub-groups in EITI implementation?

Civil society engagement in the EITI will be assessed in accordance with EITI Protocol: Participation of civil society. Stakeholders are requested to provide input on [Country's] adherence with the protocol.

Any concerns related to potential breaches of the protocol should be accompanied with a description of the related incident, including its timing, actors involved and the link to the EITI process. If available, supporting documentation should be provided. Stakeholders may also indicate which provision of the civil society protocol they consider the breach(es) to relate to. Responses will be anonymised and be kept confidential.

The Secretariat is seeking views on the following questions related to civil society engagement:

3. Are civil society organisations able to engage in public debate related to the EITI process and express opinions about the EITI process without restraint, coercion or reprisal?
4. Are civil society representatives able to operate freely in relation to the EITI process?
5. Are civil society representatives able to communicate and cooperate with each other regarding the EITI process?

6. Are civil society representatives able to be fully, actively and effectively engaged in the design, implementation, monitoring and evaluation of the EITI process?
7. Are civil society representatives able to speak freely on transparency and natural resource governance issues, and ensure that the EITI contributes to public debate?

For purposes of the protocol, 'civil society representatives' refer to civil society representatives who are substantively involved in the EITI process, including but not limited to members of the multi-stakeholder group. The 'EITI process' refers to activities related to preparing for EITI sign-up; MSG meetings; CSO constituency side-meetings on EITI, including interactions with MSG representatives; producing EITI Reports; producing materials or conducting analysis on EITI Reports; expressing views related to EITI activities; and expressing views related to natural resource governance.