# **Results Based Implementation**

Anglophone Africa Webinar 16 June 2021



The global standard for the good governance of oil, gas and mineral resources.

## Agenda

- Why examine EITI implementation results?
- Tools available; Discussion
- How to review results? Discussion



## **Objectives of the Webinar**

- Improve the understanding of the requirement on outcomes and impacts in EITI implementation
- Share tools and approaches for monitoring results
- Facilitate peer-learning by countries in the region



# Why is it important to evaluate results?



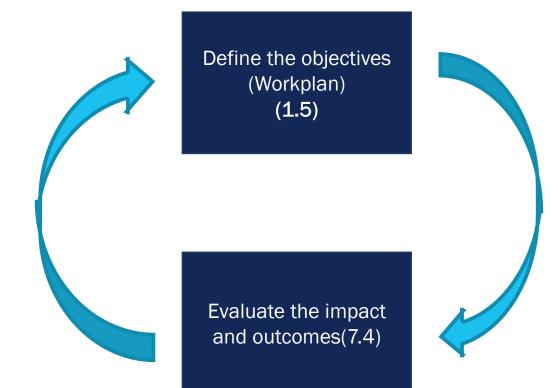
## Why is it important to evaluate results?

- Ensuring accountability and transparency to stakeholders
- Track progress towards achieving EITI implementation objectives
- Improve planning through review of what has worked and what has not worked and why
- Establish credibility and demonstrate value for money
- Communicate impact/positive change
- Others reasons why?



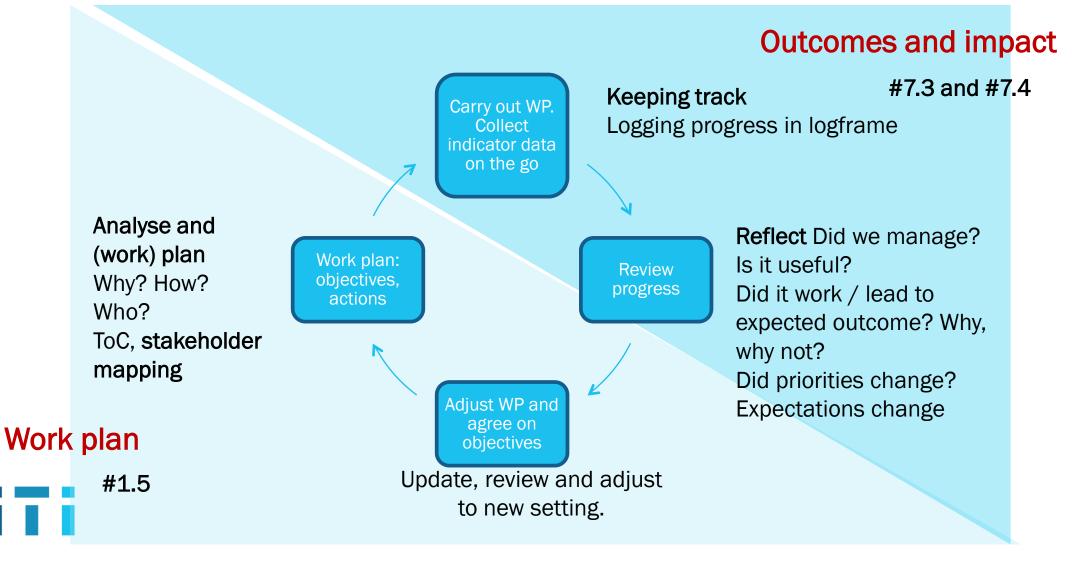
## **EITI Standard Requirement 7.4**

- The multi-stakeholder group is required to review the outcomes and impact of EITI implementation on natural resource governance
- The objective is to ensure accountability of EITI implementation





### **The EITI Standard – learn and adapt**



# **EITI Standard Requirement 7.4**

- Changes to the 2019 EITI Standard introduce more flexibility on how countries document their annual review of impact and outcomes of EITI.
- Countries are still required to review the impact and outcomes of EITI implementation (in line with Requirement 7.4), but do not have to prepare an Annual Progress Report to do this unless the MSG decides to do so.
- Validation will be assessing whether implementing countries have undertaken a review of the outcomes and impact of EITI implementation.
- Other means of reviewing outcomes and impact include; using impact studies, EITI Reports, MSG meetings and minutes, stakeholder events or other reporting tools.



## **Contribution of EITI**



Promote public debate



Strengthen institutions



Strengthen tax collection and DRM

IMPACT



Prevent corruption



Contribute to legal and policy reforms



Improve the investment climate



Effective use of extractive revenues





## **Tools Available**



### **Components of the new Validation model**



### **Stakeholder Engagement**

### Requirement 1.1 and 1.4

Participation of constituencies and multi-stakeholder oversight

### Transparency

### Requirement 2 to 6

Disclosure requirements of the EITI standard

### **Outcomes and Impact**

### Requirement 1.5 and 7

Progress in addressing national priorities and contributing to public debate.



### **Outcomes and Impact Template**

Template

### [Country, Year]

<u>Ei Ti</u>

### MSG review of the outcomes and impact of the EITI

NISG review of the outcomes and impact of EIT December 2020

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### Introduction

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The multi-stakeholder group may use this template to monitor the outcomes and impact of BIT implementation. Where information is already available elsewhere, it is sufficient to include a link to other publicly available documentation. The scope of the template reflects EITI Requirement 15 on work plan and Requirements 7.1 to 7.4 on outcomes and impact.

The MSG is required to review the outcomes and impact of EITI implementation annually (Requirement 7.4). The MSG is encouraged to update this document annually to monitor progress, keep track of efforts to improve data accessibility and inform work planning. MSG review of the outcomes and impact of EF December 202

To inform Validation, the MSG is required to submit the completed form to the International Secretaria Validation team by the Validation commercement date. The period optimel in this review may be the period since the period subditation on the previous calendar/fiscal year. The MSG should clearly indicate the period covered by its review.

The NSG's annual review of the outcomes and impact of EITI implementation should be publicly available, and stakeholders beyond MSG members should have an opportunity to provide feedback on the EITI process (Requirement 7.4).

Part I: Relevance of EITI implementation

### Work plan (Requirement 1.5)

### 1. Basic information about the current EIII work plan.

Period covered by the current EITI work plan	[Insert period covered here, e.g. 2021]
Information on how the public can access the work plan.	[Insert link or other instructions here]
Process for producing the current EITI work plan	[Summarise the process here. Include references to MSG meetings and other events where the work plan was discussed.]

MSG approval of the work plan [Date of approval]

### Explain how the work plan's objectives reflect national priorities for the extractive industry Provide links to supporting documentation, such as studies or national development plans, if evaluates

. . . . . .

3. Optional question: Has the MSG developed a theory of change on how EITI implementation will address the identified challenges of the sector in your country? If yes, please reference the corresponding document here.



Template

MSG review of the outcomes and impact of EITI December 2020

### Monitoring progress

4. Provide an overview of activities undertaken in the period under review and progress in achieving the objectives of the previous work plan. The NSG is encouraged to provide a summary here and to document progress in more detail in the work plan itself.

[Summarise progress in implementing the previous work plan. Provide a link to the previous work plan with a more detailed analysis of progress in undertaking planned activities and achieving each objective or attach it to this submission.]

 Provide an overview of the multi-stakeholder group's responses to and progress made in addressing the recommendations from EIII reporting and Validation and gaps in information in accordance with Requirement 7.3.

The multi-stakeholder group is required to list each recommendation and the corresponding activities that have been undertaken to address the recommendations and the level of progress in implementing each encommendation. Where the government or the multi-stakeholder group has decided not to implement a recommendation, it is required that the multi-stakeholder group documents the relocable.

### commendation: Status/progress:

Prest the recommendation been partly or fully addressed? How is the MSG following up on the recommendation? Has the MSG isomified an agency or actor responsible for addressing the recommendation? If the MSG has decided not <u>https://eiti.org/document/2021-validation-model-templates</u>

 Walk participants through the full Outcomes and Impact template

### How to review results?

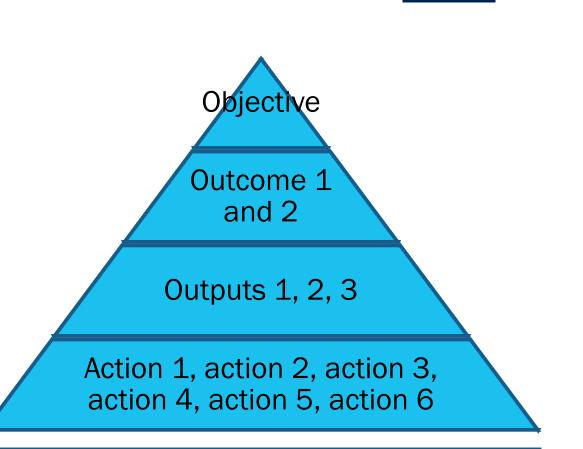
### **Review of the Workplan objectives (Before the begining of the year):**

- What are the results achieved from the workplan in the preceding year?
- What activities do we want to do for the year as an MSG?
- What results do we want to see from those activities ?
- What level of result do we want to evaluate?
  - This would not be an enumeration of activities but what the activities resulted in (outputs, outcomes, impacts).
  - A workplan with a monitoring framework can support this.
  - Refer to Work plans for impact: virtual regional training & peer-exchange on 2021 work plans, 26
     October 2020 :
  - https://eiti.org/event/work-plan-2021-anglophone-africa



## **Objectives**

- What the aim and goal is
- Has link to national priority
- To achieve objective, need several outcomes.
- Outcomes is what EITI can influence directly
- One outcome is achieved through a collection of outputs
- To get outputs, you need activities
- To carry those out, you need to be resourced (input).

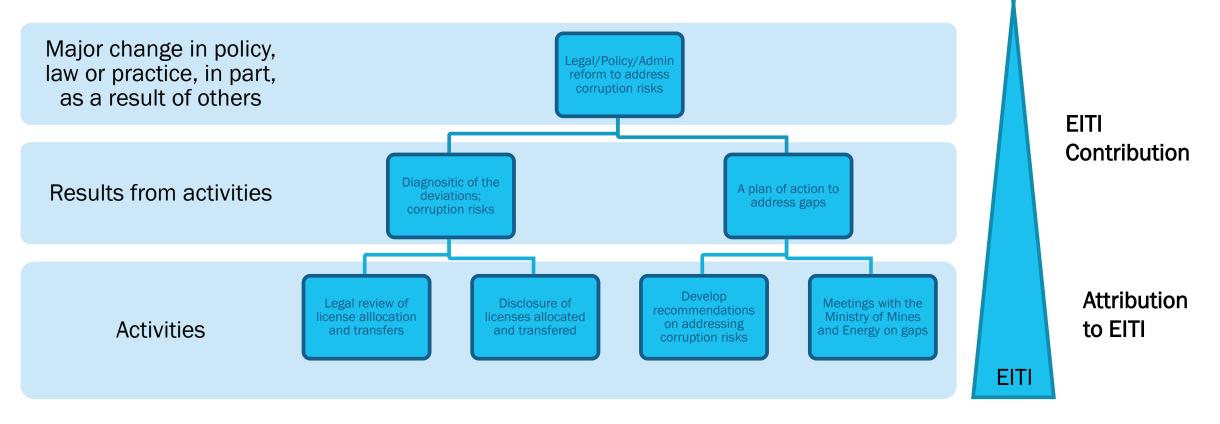


### Inputs -

from MSG, national Secretariat, reporting entities (their time reporting and reviewing disclosures), oversight institutions, development partners, int. Secretariat support, Independent Administrator...



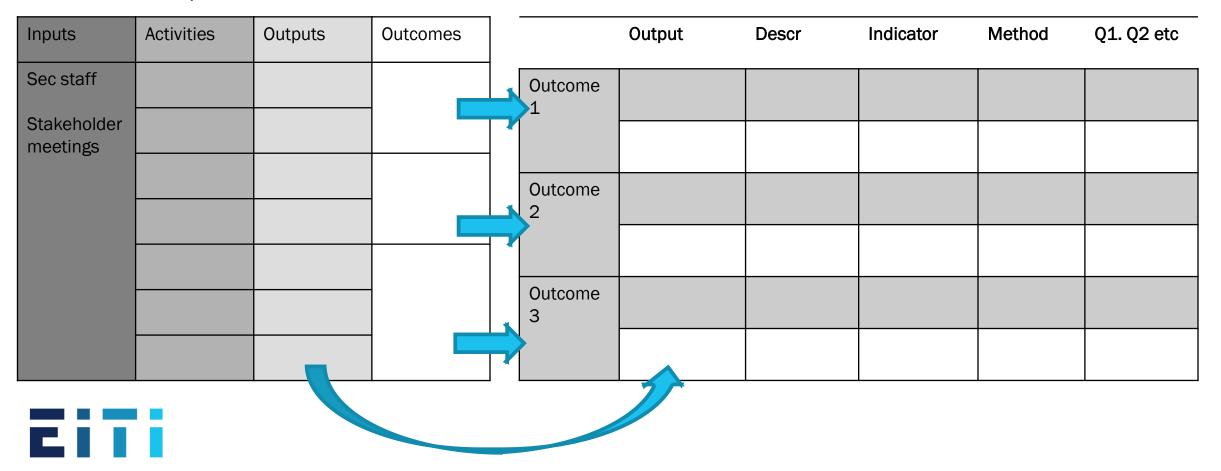
## **Example of Results**



## How work plan relates to MEL

Measurement / log frame

Work plan



### 2.0 Logic Model

ULTIMATE	Ultimate Outcome				
OUTCOME	Improved government reform resulting in increased Mainstreaming of information on the Extractives				
(Change of State)					
INTERMEDIATE	1.0	2.0	3.0		
OUTCOMES	Strengthen ZEITI to Meet and Implement	To promote transparency and accountability in the	Increased awareness and advocacy to		
(Change of	EITI Standard Requirements	management of revenues from the Extractive	promote mainstreaming		
Performance)		Sector.			
IMMEDIATE	1.2	2.1	3.1		
OUTCOMES	Increased capacity of the ZEITI and key	Improved Compliance with EITI Standard	Increased knowledge and skills to		
(Change in	Stakeholders	Requirement	initiate key disclosure of information		
Capacity)			around key Requirements		
OUTPUTS	1.3	2.2	3.2		
(Activity to	ZEITI Validation	Production of 2020 ZEITI Report and Awareness	Public Awareness Creation around 2020		
Complete)		Creation	Report		
	1.4	2.3	3.4		
	Corrective Actions	Mainstream Feasibility Study	Awareness Creation of Mainstream		
			Feasibility Study		
	1.5		3.5		
		2.4			
	ZEITI Internal Training and Skills	Beneficial Ownership Registry	Awareness and Lunching of Beneficial		
	Development		Ownership Registry		
	1.6	2.5	3.6		
	Gender Mainstreaming and Training	Draft Transparency and Accountability Bill	Awareness and Advocacy on		
	and thanks canning and thaning	charter ransparency and recountaising bin	Transparency and Accountability Bill		
	1.7	2.6	3.7		
	Reporting	Disclose license information on MMMD Portal	Raise awareness around EITI		
			Requirement 6		
		2.7			
		Capacity Development on Environmental and			
		Social Management			

## How to review results?

### **Outcome Harvesting (By the end of the year):**

What are the changes that occured in the last year in terms of policy, law and practice?

Did EITI implementation make a contribution to these changes?

How did EITI contribute to these changes?



## **Collection and Documentation of Results**

- This could be through a workshop, survey, meetings with key stakeholders regular MSG meetings or a bi-annual/annual review.
- What happened in the last year?
- What did we do that worked? How can we strengthen this?
- What went wrong and why?



# **Reviewing Results**

### Take-away

- Monitoring of outcomes and impact needs to be planned for
- Use of available tools can be effective e.g. Outcomes and Impact template, Workplan, EITI disclosures/reporting
- Does not need to be a costly exercise

### **Other Resources**

- Monitoring and Evaluation of EITI Implementation- Guideline by GIZ <u>https://eiti.org/files/documents/giz\_eiti\_m</u> <u>e\_guideline.pdf</u>
- Validation Guide <u>https://eiti.org/document/2021-eiti-</u> validation-guide#req71
- Workplans for Impact
   <u>https://eiti.org/files/documents/workplan</u>
   <u>s 2021 1.pdf</u>







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